

1 **Virological characteristics of the SARS-CoV-2 XEC variant**

2
3 Yu Kaku^{1#}, Kaho Okumura^{1,2#}, Shusuke Kawakubo¹, Keiya Uriu¹, Luo Chen^{1,3},
4 Yusuke Kosugi^{1,4}, Yoshifumi Uwamino⁵, MST Monira Begum⁶, Sharee Leong⁶,
5 Terumasa Ikeda⁶, Kenji Sadamasu⁷, Hiroyuki Asakura⁷, Mami Nagashima⁷,
6 Kazuhisa Yoshimura⁷, The Genotype to Phenotype Japan (G2P-Japan)
7 Consortium, Jumpei Ito^{1,8}, Kei Sato^{1,3,4,8,9,10,11*}

8
9 ¹ Division of Systems Virology, Department of Microbiology and Immunology, The
10 Institute of Medical Science, The University of Tokyo, Tokyo, Japan

11 ² Faculty of Liberal Arts, Sophia University, Tokyo, Japan

12 ³ Graduate School of Frontier Sciences, The University of Tokyo, Chiba, Japan

13 ⁴ Graduate School of Medicine, The University of Tokyo, Tokyo, Japan

14 ⁵ Department of Laboratory Medicine, Keio University School of Medicine, Tokyo,
15 Japan

16 ⁶ Division of Molecular Virology and Genetics, Joint Research Center for Human
17 Retrovirus Infection, Kumamoto University, Kumamoto, Japan

18 ⁷ Tokyo Metropolitan Institute of Public Health, Tokyo, Japan

19 ⁸ International Research Center for Infectious Diseases, The Institute of Medical
20 Science, The University of Tokyo, Tokyo, Japan

21 ⁹ International Vaccine Design Center, The Institute of Medical Science, The
22 University of Tokyo, Tokyo, Japan

23 ¹⁰ Collaboration Unit for Infection, Joint Research Center for Human Retrovirus
24 Infection, Kumamoto University, Kumamoto, Japan

25 ¹¹ MRC-University of Glasgow Centre for Virus Research, Glasgow, UK

26
27 # Contributed equally to this study.

28 *Correspondence: KeiSato@g.ecc.u-tokyo.ac.jp (Kei Sato)

29
30 Word count: 401 words for Abstract, 429 words for Text, 5/8 references

31 **Abstract**

32 The SARS-CoV-2 JN.1 variant (BA.2.86.1.1), arising from BA.2.86.1 with spike
33 protein (S) substitution S:L455S, outcompeted the previously predominant XBB
34 lineages by the beginning of 2024. Subsequently, JN.1 subvariants including KP.2
35 (JN.1.11.1.2) and KP.3 (JN.1.11.1.3), which acquired additional S substitutions
36 (e.g., S:R346T, S:F456L, and S:Q493E), have emerged concurrently. As of
37 October 2024, KP.3.1.1 (JN.1.11.1.3.1.1), which acquired S:31del, outcompeted
38 other JN.1 subvariants including KP.2 and KP.3 and is the most predominant
39 SARS-CoV-2 variant in the world. Thereafter, XEC, a recombinant lineage of
40 KS.1.1 (JN.13.1.1.1) and KP.3.3 (JN.1.11.1.3.3), was first identified in Germany
41 on August 7, 2024. XEC acquired two S substitutions, S:T22N and S:F59S,
42 compared with KP.3 through recombination, with a breakpoint at genomic position
43 21,738–22,599. We estimated the relative effective reproduction number (R_e) of
44 XEC using a Bayesian multinomial logistic model based on genome surveillance
45 data from the USA, the United Kingdom, France, Canada, and Germany, where
46 this variant has spread as of August 2024. In the USA, the R_e of XEC is 1.13-fold
47 higher than that of KP.3.1.1. Additionally, the other countries under investigation
48 herein showed higher R_e for XEC. These results suggest that XEC has the
49 potential to outcompete the other major lineage including KP.3.1.1. We then
50 assessed the virological properties of XEC using pseudoviruses. Pseudovirus
51 infection assay showed that the infectivity of KP.3.1.1 and XEC was significantly
52 higher than that of KP.3. Although S:T22N did not affect the infectivity of the
53 pseudovirus based on KP.3, S:F59S significantly increased it. Neutralization
54 assay was performed using three types of human sera: convalescent sera after
55 breakthrough infection (BTI) with XBB.1.5 or KP.3.3, and convalescent sera after
56 JN.1 infection. In all serum groups, XEC as well as KP.3.1.1 showed immune
57 resistance when compared to KP.3 with statistically significant differences. In the
58 cases of XBB.1.5 BTI sera and JN.1 infection sera, the 50% neutralization titers
59 (NT50s) of XEC and KP.3.1.1 were comparable. However, we revealed that the
60 NT50 of XEC was significantly (1.3-fold) lower than that of KP.3.1.1. Moreover,
61 both S:T22N and S:F59S significantly (1.5-fold and 1.6-fold) increased the
62 resistance to KP.3.3 BTI sera. Here we showed that XEC exhibited higher
63 pseudovirus infectivity and higher immune evasion than KP.3. Particularly, XEC
64 exhibited more robust immune resistance to KP.3.3 BTI sera than KP.3.1.1. Our
65 data suggest that the higher R_e of XEC than KP.3.1.1 is attributed to this property
66 and XEC will be a predominant SARS-CoV-2 variant in the world in the near future.

67 **Text**

68 The SARS-CoV-2 JN.1 (BA.2.86.1.1) variant, arising from BA.2.86.1 with spike
69 protein (S) substitution S:L455S, outcompeted the previously predominant XBB
70 lineages by the beginning of 2024.¹ Subsequently, JN.1 subvariants including
71 KP.2 (JN.1.11.1.2) and KP.3 (JN.1.11.1.3), which acquired additional S
72 substitutions (e.g., S:R346T, S:F456L, and S:Q493E), have emerged
73 concurrently (**Figure 1A**).^{2,3} As of October 2024, KP.3.1.1 (JN.1.11.1.3.1.1),
74 which acquired S:31del, outcompeted other JN.1 subvariants including KP.2 and
75 KP.3, and is the most predominant SARS-CoV-2 variant in the world.⁴

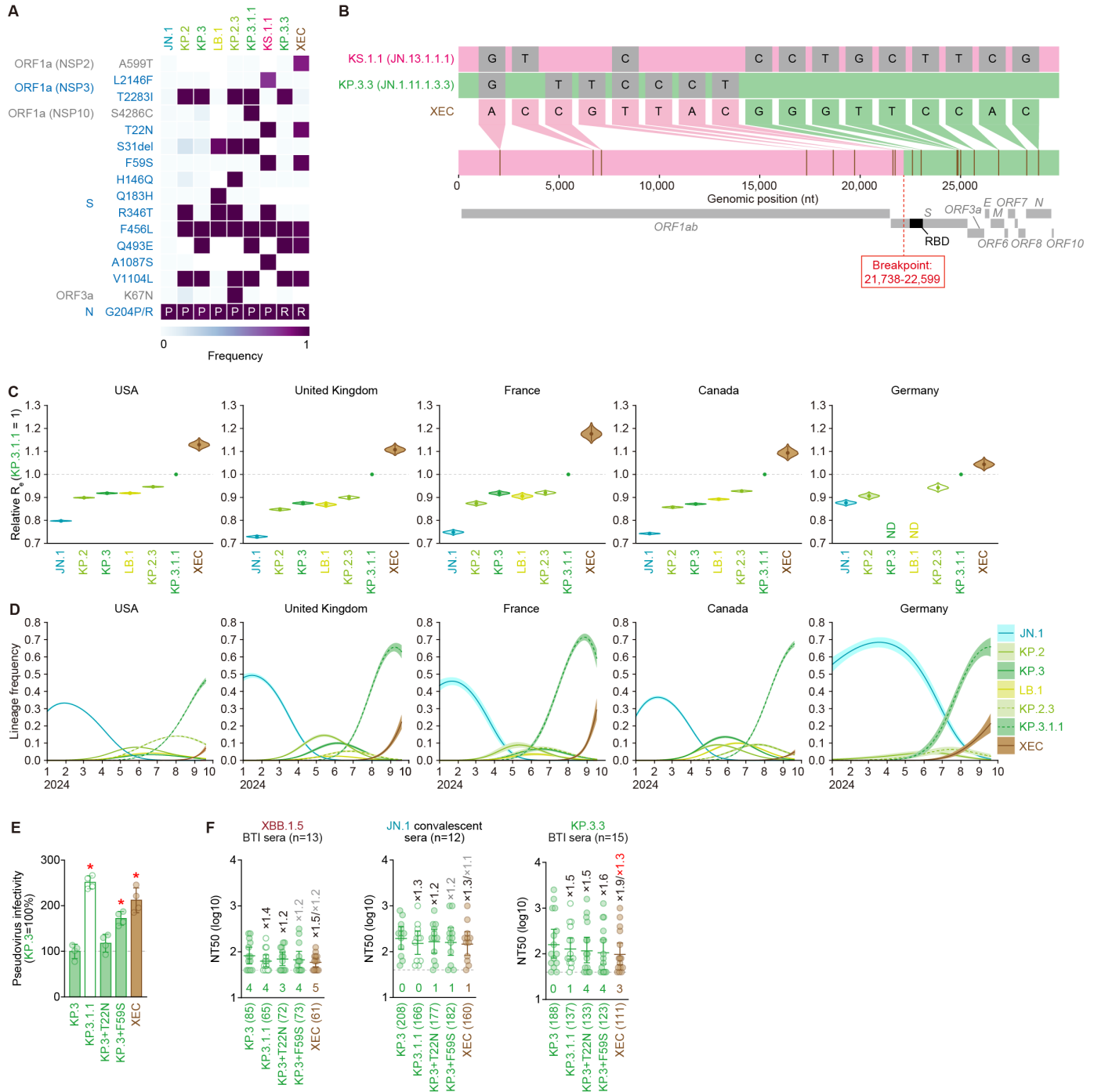
76 Thereafter, XEC, a recombinant lineage of KS.1.1 (JN.13.1.1.1) and
77 KP.3.3 (JN.1.11.1.3.3), was first identified in Germany on August 7, 2024. XEC
78 acquired two S substitutions, S:T22N and S:F59S, compared with KP.3 through
79 recombination, with a breakpoint at genomic position 21,738–22,599 (**Figures 1A**
80 **and 1B**). We estimated the relative effective reproduction number (R_e) of XEC
81 using a Bayesian multinomial logistic model⁵ based on genome surveillance data
82 from the USA, the United Kingdom, France, Canada, and Germany, where this
83 variant has spread as of August 2024 (**Figures 1C and 1D; Table S3**). In the
84 USA, the R_e of XEC is 1.13-fold higher than that of KP.3.1.1 (**Figure 1C**).
85 Additionally, the other countries under investigation herein showed higher R_e for
86 XEC. These results suggest that XEC has the potential to outcompete the other
87 major SARS-CoV-2 lineages including KP.3.1.1.⁴

88 We then assessed the virological properties of XEC using pseudoviruses.
89 Pseudovirus infection assay showed that the infectivity of KP.3.1.1 and XEC was
90 significantly higher than that of KP.3 (**Figure 1E**). Although S:T22N did not affect
91 the infectivity of the pseudovirus based on KP.3, S:F59S significantly increased it
92 (**Figure 1E**). Neutralization assay was performed using three types of human
93 sera: convalescent sera after breakthrough infection (BTI) with XBB.1.5 or KP.3.3,
94 and convalescent sera after JN.1 infection. In all serum groups, XEC as well as
95 KP.3.1.1 showed immune resistance when compared to KP.3 with statistically
96 significant differences (**Figure 1F**). In the cases of XBB.1.5 BTI sera and JN.1
97 infection sera, the 50% neutralization titers (NT_{50} s) of XEC and KP.3.1.1 were
98 comparable (**Figure 1F**). However, we revealed that the NT_{50} of XEC was
99 significantly (1.3-fold) lower than that of KP.3.1.1 (**Figure 1F**). Moreover, both
100 S:T22N and S:F59S significantly (1.5-fold and 1.6-fold) increased the resistance
101 to KP.3.3 BTI sera (**Figure 1F**).

102 Altogether, here we showed that XEC exhibited higher pseudovirus
103 infectivity and higher immune evasion than KP.3. Particularly, XEC exhibited more

104 robust immune resistance to KP.3.3 BTI sera than KP.3.1.1. Our data suggest
105 that the higher R_e of XEC than KP.3.1.1 is attributed to this property and XEC will
106 be a predominant SARS-CoV-2 variant in the world in the near future.

107



108 **Figure 1. Virological features of XEC**

109 **(A)** Frequency of mutations in XEC, and other lineages of interest. Only mutations
 110 with a frequency >0.5 in at least one but not all the representative lineages are
 111 shown.

112 **(B)** Nucleotide differences between the consensus sequences of the KS.1.1,
 113 KP.3.3 lineages (parental lineages of XEC) and the XEC lineage, highlighting the
 114 recombination breakpoint. The plot was visualized with snipit
 115 (<https://github.com/aineniamh/snipit>).

116 **(C)** Estimated relative R_e of the variants of interest in the USA, the United
117 Kingdom, France, Canada, and Germany. The relative R_e of KP.3.1.1 is set to 1
118 (horizontal dashed line). Violin, posterior distribution; dot, posterior mean; line,
119 95% credible interval.

120 **(D)** Estimated epidemic dynamics of the variants of interest in in the USA, the
121 United Kingdom, France, Canada, and Germany from January 1, 2024, to
122 September 19, 2024. Countries are ordered according to the number of detected
123 sequences of XEC from high to low. Line, posterior mean, ribbon, 95% credible
124 interval.

125 **(E)** Lentivirus-based pseudovirus assay. HOS-ACE2/TMPRSS2 cells were
126 infected with pseudoviruses bearing each S protein of KP.3 and KP.3.1.1. The
127 amount of input virus was normalized to the amount of HIV-1 p24 capsid protein.
128 The percentage infectivity of KP.3.1.1 is compared to that of KP.3. The horizontal
129 dash line indicates the mean value of the percentage infectivity of KP.3. Assays
130 were performed in quadruplicate, and a representative result of four independent
131 assays is shown. The presented data is expressed as the average \pm SD. Each
132 dot indicates the result of an individual replicate. Statistically significant
133 differences versus KP.3 is determined by two-sided Student's t tests and
134 statistically significant difference ($P < 0.05$) versus KP.3 is indicated with red
135 asterisk.

136 **(F)** Neutralization assay. Assays were performed with pseudoviruses harboring
137 the S proteins of KP.3, KP.3.1.1, KP.3+T22N, KP.3+F59S and XEC. The
138 following convalescent sera were used: sera from fully vaccinated individuals who
139 had been infected with XBB.1.5 (one 2-dose vaccinated, three 3-dose vaccinated,
140 five 4-dose vaccinated, three 5-dose vaccinated and one 6-dose vaccinated; time
141 interval between the last vaccination and infection, 44-691 days; 15-46 days
142 after testing. $n=13$ in total; average age: 44.1 years, range: 15-74 years, 30.8%
143 male), individuals who had been infected with JN.1 (one 2-dose vaccinated, two
144 3-dose vaccinated, two 7-dose vaccinated and seven unknown vaccine history;
145 time interval between the last vaccination and infection, 34-958 days; 13-46
146 days after testing. $n=12$ in total; average age: 69.3 years, range: 31-94 years,
147 41.7% male) and fully vaccinated individuals who had been infected with KP.3.3
148 (five 3-dose vaccinated, four 4-dose vaccinated, five 5-dose vaccinated and one
149 6-dose vaccinated; time interval between the last vaccination and infection, 208-
150 929 days; 13-45 days after testing. $n=15$ in total; average age: 48.1 years,
151 range: 28-87 years, 46.7% male). Assays for each serum sample were
152 performed in quadruplicate to determine the 50% neutralization titer (NT_{50}). Each
153 dot represents one NT_{50} value, and the median and 95% confidence interval are
154 shown. The number in parenthesis indicates the geometric mean of NT_{50} values.
155 The horizontal dash line indicates a detection limit (40-fold) and the number of

156 serum donors with the NT₅₀ values below the detection limit is shown in the figure
157 (under the bars and dots of each variant). Neutralization titers below the detection
158 limit were calculated as a titer of 40. Statistically significant differences versus
159 KP.3 and KP.3.1.1 were determined by two-sided Wilcoxon signed-rank tests.
160 The fold changes of NT₅₀ versus KP.3 and KP.3.1.1 are calculated as the average
161 of ratio of inverted NT₅₀ obtained from each individual. The fold changes versus
162 KP.3 are indicated with “X” followed by fold changes versus KP.3.1.1. Black and
163 red numbers indicate fold changes versus KP.3 and KP.3.1.1 with statistically
164 significant differences. Gray numbers indicate nonsignificant differences.

165 **Grants**

166 Supported in part by AMED ASPIRE Program (JP24jf0126002, to G2P-Japan
167 Consortium and Kei Sato); AMED SCARDA Japan Initiative for World-leading
168 Vaccine Research and Development Centers "UTOPIA" (JP243fa627001h0003,
169 to Kei Sato); AMED SCARDA Program on R&D of new generation vaccine
170 including new modality application (JP243fa727002, to Kei Sato); AMED
171 Research Program on Emerging and Re-emerging Infectious Diseases
172 (23fk0108583, JP24fk0108690, to Kei Sato); JST PRESTO (JPMJPR22R1, to
173 Jumpei Ito); JSPS KAKENHI Fund for the Promotion of Joint International
174 Research (International Leading Research) (JP23K20041, to G2P-Japan
175 Consortium and Kei Sato); JSPS KAKENHI Grant-in-Aid for Early-Career
176 Scientists (JP23K14526, to Jumpei Ito); JSPS Research Fellow DC1 (23KJ0710,
177 to Yusuke Kosugi); JSPS KAKENHI Grant-in-Aid for Scientific Research A
178 (JP24H00607, to Kei Sato); Mitsubishi UFJ Financial Group, Inc. Vaccine
179 Development Grant (to Jumpei Ito and Kei Sato); The Cooperative Research
180 Program (Joint Usage/Research Center program) of Institute for Life and Medical
181 Sciences, Kyoto University (to Kei Sato); The Grant for International Joint
182 Research Project of the Institute of Medical Science, the University of Tokyo (to
183 Terumasa Ikeda and Kei Sato).

184

185 **Declaration of interest**

186 J.I. has consulting fees and honoraria for lectures from Takeda Pharmaceutical
187 Co. Ltd. K.S. has consulting fees from Moderna Japan Co., Ltd. and Takeda
188 Pharmaceutical Co. Ltd., and honoraria for lectures from Moderna Japan Co., Ltd.
189 and Shionogi & Co., Ltd. The other authors declare no competing interests. All
190 authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of
191 Interest. Conflicts that the editors consider relevant to the content of the
192 manuscript have been disclosed.

193 **References**

- 194 1. Kaku Y, Okumura K, Padilla-Blanco M, et al. Virological characteristics of the
195 SARS-CoV-2 JN.1 variant. *Lancet Infect Dis* 2024; **24**(2): e82.
- 196 2. Kaku Y, Uriu K, Kosugi Y, et al. Virological characteristics of the SARS-CoV-2
197 KP.2 variant. *Lancet Infect Dis* 2024; **24**(7): e416.
- 198 3. Kaku Y, Yo MS, Tolentino JE, et al. Virological characteristics of the SARS-
199 CoV-2 KP.3, LB.1, and KP.2.3 variants. *Lancet Infect Dis* 2024; **24**(8): e482–3.
- 200 4. Kaku Y, Uriu K, Okumura K, Ito J, Sato K. Virological characteristics of the
201 SARS-CoV-2 KP.3.1.1 variant. *Lancet Infect Dis* 2024; **24**(10): e609.
- 202 5. Yamasoba D, Kimura I, Nasser H, et al. Virological characteristics of the SARS-
203 CoV-2 Omicron BA.2 spike. *Cell* 2022; **185**(12): 2103-15.e19.

204