

1 **Detection of clade 2.3.4.4b highly pathogenic H5N1 influenza virus in New York City**

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27 28 29 **Abstract**

30 Highly pathogenic avian influenza viruses of the H5N1 clade 2.3.4.4b arrived in North America in
31 the winter of 2021/2022. These viruses have spread across the Americas causing morbidity and
32 mortality in both wild and domestic birds as well as some mammalian species, including cattle.
33 Many surveillance programs in wildlife as well as commercial poultry operations have detected
34 these viruses. Here we conducted surveillance of avian species in the urban environment in New
35 York City. We detected highly pathogenic H5N1 viruses in six samples from four different bird
36 species and performed full genome sequencing. Sequence analysis showed the presence of
37 multiple different genotypes. Our work highlights that the interface between animals and humans
38 that may give rise to zoonotic infections or even pandemics is not limited to rural environments
39 and commercial poultry operations but extends into the heart of our urban centers.

40 41 **Importance**

42 While surveillance for avian influenza viruses is often focused on migratory routes and their
43 associated stop-over locations, or commercial poultry operations, many bird species – including
44 migratory birds – frequent or live in urban green spaces and wetlands. This brings them into

45 contact with a highly dense population of humans and pets providing an extensive urban animal-
46 human interface in which the general public may have little awareness of circulating infectious
47 diseases. This study focuses on virus surveillance at this interface, combined with culturally
48 responsive science education and community outreach.

49

50 **Introduction**

51 Zoonotic infections with highly pathogenic avian influenza (HPAI) viruses of the H5N1 subtype
52 were first detected in Hong Kong in 1997 (1, 2). After a hiatus, human infections with these
53 A/goose/Guangdong/1/96-like viruses returned in 2003 (3). Their range was initially restricted to
54 birds in Southeast Asia, but they spread west into the Middle East (4, 5), Europe (6-8) and Africa
55 (8, 9) via migratory birds. In addition, these H5N1 viruses also diversified and split into many
56 different lineages. Between 2010 and 2011 clade 2.3.4.4 viruses emerged in China and started
57 to reassort with other avian influenza viruses producing H5NX genotypes of which many seemed
58 to be of lower pathogenicity (10). These viruses were introduced to the United States (US) in 2014
59 and caused widespread issues in the poultry industry (e.g. (10)). However, in 2015 they
60 disappeared from circulation in North America (11). A subclade of clade 2.3.4.4, namely clade
61 2.3.4.4b, spread in Eurasia and Africa in 2020, this time again with an N1 neuraminidase (NA)
62 (12), and arrived in North America via migratory birds in the winter of 2021/2022 (13-15). The
63 clade 2.3.4.4b viruses have now spread across the Americas and have heavily impacted wild bird
64 populations and have hurt the poultry industry (16-19). In addition, infections in mammals – often
65 leading to neurological symptoms and fatal outcomes – have been reported. This includes
66 predatory animals and scavengers feeding on sick or dead birds (20-22). These are mostly seen
67 as dead-end hosts. Marine mammals have also been affected, especially in South America, and
68 mammal-to-mammal transmission is suspected in some of these outbreaks (23-25). Furthermore,
69 clade 2.3.4.4b H5N1 seems to have caused outbreaks in fur farms in Europe in mink and foxes
70 with potential mammal-to-mammal transmission (26-28) and recently reported cases in dairy
71 cattle are also raising concerns. Human cases with clade 2.3.4.4b H5N1 so far have been rare,
72 and only two severe infections are known in the Americas (with a low number of additional ones
73 in Asia including fatalities), which is remarkable given the extent of the spread of this virus and
74 the potential exposure of humans (29-31).

75 Nevertheless, it is very important to track the spread of this virus to determine potential risk to
76 humans. There is a need for viral surveillance in urban areas which often provide plenty of green
77 space and wetlands for both resident and migratory birds. This, in combination with high human
78 population densities, creates an extensive urban animal-human interface. In this interface, pets
79 can also be impacted as shown by infections of cats and dogs by H5N1 (32-35). Communicating
80 this risk to urban populations is critical. Here, we set out to detect HPAI H5N1 viruses in New York
81 City using surveillance in wildlife rehabilitation centers as well as sampling bird feces from the
82 environment. Our approach is based on collaboration between research institutions, a science
83 outreach organization, wildlife welfare non-profit organizations and community scientists.
84 Community scientists working with our research team have previously reported the first detection
85 of avian paramyxovirus 1 in New York City's pigeon population (36). The growing interest in
86 biodiversity protection and citizen science has resulted in initiatives that collect a massive quantity
87 of data about birds (37, 38). However, these approaches are frequently limited to participatory
88 data collection (38, 39). In the collaborative New York City Virus Hunters initiative described here,

89 we aim to engage the community in every step of the research process. A core element is
90 mentored research for high school students that self-identify as members of racial or ethnic
91 minoritized groups in science. The students work alongside expert mentors and actively engage
92 in overall study design before safely participating in sample collection, processing, data analysis,
93 dissemination of results and community outreach. The outputs of this program benefit all
94 participants (40).

95

96 **Results**

97

98 **Surveillance strategy and virus detection**

99

100 Our sampling strategy prioritized samples collected from birds known to contract HPAI H5N1 -
101 principally wild aquatic avian species of *Anseriformes* (ducks, geese and swans), *Charadriiformes*
102 (gulls, terns, auks and other shorebirds) and raptors, such as *Accipitriformes* (hawks, ospreys
103 and other birds of prey) and *Falconidae* (falcons, kestrels). Samples for this study were collected
104 from January 2022 to November 2023. In total 1927 samples were collected and processed for
105 this study. We used two sampling streams. First, 125 environmental fecal samples were collected
106 from New York City parks and green spaces using proper personal protective equipment (N95
107 masks and gloves). In addition, professional animal rehabilitators at the Wild Bird Fund (WBF)
108 and veterinarians of the Animal Care Centers (ACC) of New York City provided four water
109 samples (3 ml each) and 1798 cloacal (CS), oropharyngeal (OS), and fecal swabs from urban
110 wild and domestic birds submitted to them. From these 1798 samples (237 fecal samples, 783
111 CS and 764 OS samples, and 14 samples where CS or OP was non-specified; from 895 birds),
112 six were found positive for HPAI H5N1. While for environmental fecal samples collected in urban
113 parks and green spaces the avian species is hard to determine by appearance of the sample, CS,
114 OS, and fecal samples provided by wildlife rehabilitation centers were documented to be from 80
115 different species (see **Table 1**). The majority were from gulls and terns (348 samples/19.35%),
116 chicken (306 samples/17.01%), geese (247 samples/14.29%), ducks (133 samples/7.39%),
117 hawks (112 samples/6.22%), crows and ravens (85 samples/4.72%), falcons and kestrels (53
118 samples/2.94%) and cormorants (43 samples/2.39%). Eighty-nine samples (4.94%) were from
119 non-specified species. The remaining samples and the species they were collected from are also
120 listed in **Table 1**. RNA was extracted from 1927 samples and reverse transcription was used to
121 generate cDNA. We then screened the cDNA preparations via a multiplex PCR using primers for
122 the matrix (M) genomic segment, the nucleoprotein (NP) genomic segment and for the
123 hemagglutinin (HA) genomic segment. Primers for the HA segment were H5 HA specific while M
124 and NP primers were designed to detect all known influenza A viruses. Gene products were sent
125 for Sanger sequencing. If Sanger sequencing indicated the presence of influenza A virus, full
126 genome sequencing was performed. Samples from six birds were found to be positive for HPAI
127 H5N1, and full genomes could be sequenced. No environmental fecal samples were found
128 positive for HPAI H5N1. No other avian influenza viruses were detected.

129

130 Of these positive samples, the first (A/Canada goose/New York/NYCVH 22-6038/2022 (H5N1))
131 was collected from a Canada goose (*Branta canadensis*). This animal was initially found in
132 Hutchinson River Parkway, in the Bronx, and died before the intake exam in August 2022. The

133 next positive sample (A/red-tailed hawk/New York/NYCVH 22-8477/2022 (H5N1))) was derived
134 in October 2022 from a red-tailed hawk (*Buteo jamaicensis*) that was found in close proximity to
135 a major highway in Queens. The bird displayed neurological symptoms at the clinic. In December
136 2022 two birds found in Brooklyn tested positive for HPAI H5N1. One Canada goose (A/Canada
137 goose/New York/NYCVH 22-9190/2022 (H5N1))), that displayed neurological symptoms and
138 cloudy eyes, and one peregrine falcon (*Falco peregrinus*, strain name A/peregrine falcon/New
139 York/NYCVH 160820/2022). The fifth sample (strain name: A/Canada goose/New York/NYCVH
140 23-453/2023 (H5N1))) came from a Canada goose found in February of 2023 in Queens. The
141 sixth positive sample (A/chicken/New York/NYCVH 168127/2023 (H5N1))) was collected in April
142 2023 from a chicken (*Gallus gallus domesticus*) that was found in upper Manhattan (**Figure 1 and**
143 **Table 2**). No additional positive samples/birds were detected from April 2023 to November 2023.
144 To further analyze our detected viruses, we performed a multiple sequence alignment of their
145 amino acid sequences, and mapped amino acid (AA) changes from the HPAI H5N1 strain A/bald
146 eagle/FL/W22-134-OP/2022 (accession number UWI70064) (41) onto an HA structure from
147 A/chicken/Vietnam/4/2003 (42) (**Figure 3**). The detected AA differences mainly fell outside
148 receptor-binding site and antigenic sites of H5N1 (43, 44), except for T71I. Most differences were
149 only found in one of our NYCVH strains, except for T71I, which was present in all NYCVH strains.
150 It should be noted that isoleucine (I) was present at this position in all 50 strains used to construct
151 our phylogenetic tree, and it is atypical for A/bald eagle/FL/W22-134-OP/2022 to have a threonine
152 (T) at this position. To our knowledge, none of the amino acid changes relative to A/bald
153 eagle/FL/W22-134-OP/2022 have specifically been implicated with increases in pathogenicity or
154 mammalian adaptation.

155 Upon confirmation, detections were reported to the United States Department of Agriculture
156 (USDA) and the associated original samples were transferred to Mount Sinai's BSL3+ select
157 agent facility (Emerging Pathogens Facility (EPF)/BSL-3 Biocontainment CoRE) for storage.
158 Results were also discussed with the New York City Department of Health and Mental Hygiene
159 as well as the Wild Bird Fund and the Animal Care Centers of New York City, following a
160 previously developed internal and external communication strategy (45, 46). Briefly, the strategy
161 aimed to ensure prompt and informed decisions and that all participants, collaborators and
162 stakeholders are kept fully informed. Successful communication of science and public health
163 messages is complex, and it remains an important challenge to reach potentially vulnerable
164 audiences. Our communication aimed to calm potential anxiety by providing information and instill
165 confidence and trust by addressing all questions to the best of our abilities. It has been noted that
166 communications around emerging infectious disease can be improved when it comes from
167 individuals inside the same community as those receiving the information, simply for the fact that
168 they often share the same language, values and beliefs (47). Therefore, it is incredibly important
169 to ensure researchers involved in pandemic preparedness are committed to bidirectional
170 communication, listening and serving the needs of the community. To reach the scientific
171 community and general public alike, involved students shared their results in multiple languages
172 and through multiple channels. These range from live virtual events and talks at community
173 boards, to in-person symposia and presentations at scientific conferences. Results were also
174 presented to the public at three student research symposia, including the New York City Virus
175 Hunters Symposium on May 31st, 2023.

176

177 **Phylogenetic analysis of detected HPAI H5N1 genomes**

178

179 Phylogenetic analysis of the six viral genomes and genotype assignment was performed. The H5
180 and N1 genes of all six viruses were all typical of the currently circulating 2.3.4.4b clade in the
181 Americas. HA sequences of A/Canada goose/New York/NYCVH 22-6038/2022, A/red-tailed
182 hawk/New York/NYCVH 22-8477/2022, A/Canada goose/New York/NYCVH 22-9190/2022 and
183 A/peregrine falcon/New York/NYCVH 160820/2022 clustered closely together in a tree
184 constructed of 50 HA sequences randomly selected from a list of all available H5N1 strains
185 collected since January 1st 2020 on NCBI's influenza virus database, downloaded on October 26th
186 2023 (**Figure 2**). They also cluster with contemporary H5 sequences from 2022 from Ohio, North
187 Carolina but also Colombia. Similarly, their NA sequences cluster together next to the NA
188 sequences of the Ohio and Colombia isolates for which the HAs cluster as well. The two 2023
189 sequences A/chicken/New York/NYCVH 168127/2023 and A/Canada goose/New York/NYCVH
190 23-453/2023 are clustering together as well and form their own branch close to a cluster of
191 sequences from 2022 and 2023 North and South American isolates. The NA sequences of these
192 two viruses cluster together, but are also located closely to many different isolates from both North
193 and South America.

194

195 To identify the genotypes of internal genes, we used a script provided by Youk *et al.* (16) that
196 allows for classification of segments into lineages and determines a genotype based on the
197 genomic segment composition of a virus. We compared our virus sequences with available full
198 length genome sequences from the New York State, New Jersey and Connecticut area
199 surrounding New York City where many infections were detected (**Supplemental Figure 1**). All
200 detected viruses were re-assortant viruses between the Eurasian (EA) and American (AM)
201 lineages. All HA and NA segments were of course from the EA lineage but segments encoding
202 for internal proteins differed. A/Canada goose/New York/NYCVH 22-6038/2022, A/red-tailed
203 hawk/New York/NYCVH 22-8477/2022, A/Canada goose/New York/NYCVH 22-9190/2022 and
204 A/peregrine falcon/New York/NYCVH 160820/2022 were all determined to be genotype B1.3 with
205 AM lineage polymerase and NP segments, and all other segments from the EA lineage (**Table**
206 **3**). B1.3 lineage viruses were also found in New York State and neighboring states (New Jersey,
207 Connecticut) during our observation period. (**Table 4**). The more recent A/chicken/New
208 York/NYCVH 168127/2023 and A/Canada goose/New York/NYCVH 23-453/2023 viruses
209 belonged to lineage B3.3, a lineage also detected in New York State in a turkey vulture in April of
210 2023..This lineage features PB2, PB1, NP, and NS segments from the AM lineage while, PA, HA,
211 NA and M segments are derived from the EA lineage.

212

213 **Discussion**

214 The recent spread of the panzootic clade 2.3.4.4b H5N1 across the globe has caused significant
215 damage to wild bird populations and to the poultry industry (16, 41, 48, 49). Spillovers into
216 mammals have caused concerns about mammalian adaptation of this clade. However, despite
217 the wide spread of the clade 2.3.4.4b H5N1 virus and likely significant exposure of humans to it
218 (hunters, poultry farmers etc.), human infections have so far been rare with only two known severe
219 cases in the Americas (29, 30) and a small number in Asia (31). Avian influenza virus surveillance

220 is often carried out in wild birds in rural areas, through hunter programs as well as in domestic
221 poultry operations. However, surveillance systems to detect the virus in urban wild birds is often
222 absent. Despite that, many bird species inhabit or temporarily visit urban areas which in many
223 cases have ample green space as well as aquatic habitats for waterfowl. This is exemplified by
224 the long list of species sampled in this study. Our study focused on this urban space using two
225 sample streams including samples from animal rehabilitation centers (Wild Bird Fund and Animal
226 Care Centers of New York City) and environmental fecal samples sourced via a citizen/community
227 science project (New York City Virus Hunters). Including the community in viral surveillance in a
228 safe way generates interest and understanding of the topic in the population which is important
229 given the science skepticism which has come to light through the coronavirus disease 2019
230 (COVID-19) pandemic (50, 51).

231 Our work identified six HPAI H5N1 viruses in 1927 samples (corresponding to at least 895 birds).
232 These viruses were found in species known to be susceptible for H5N1 infection. Based on
233 infection patterns in our area, we did expect to find HPAI H5N1 virus in Canada geese (which are
234 highly susceptible to H5N1 infections (52, 53)) as well as in raptors (peregrine falcon, red-tailed
235 hawk) which often get infected when feeding on infected prey or carcasses. While H5N1 is known
236 to infect chickens, it was somewhat unexpected to receive samples from a chicken found in
237 Marcus Garvey Park in Manhattan. Almost all our samples from chickens were from birds in
238 captivity. It remains unclear if this chicken was intentionally released or escaped from captivity
239 elsewhere, as does the context in which it became infected (in captivity or after release). It is
240 important to state that all six positive samples came from either the Wild Bird Fund or the Animal
241 Care Centers of New York City, stressing the important role that urban wildlife rehabilitation
242 centers can play in urban viral surveillance efforts. The detected HA and NA sequences clustered
243 with other H5 and N1 sequences from North and South American clade 2.3.4.4b H5N1 viruses
244 circulating at approximately the same time and they belonged to two different genotypes, which
245 are both reassortants between the Eurasian 2.3.4.4b H5N1 and American avian influenza viruses.
246 It has recently been shown that these reassortants can have increased pathogenicity in mammals
247 as compared to the full Eurasian genotype of 2.3.4.4b H5N1 (41). The genotypes of our NYCVH-
248 detected HPAI H5N1 viruses have also been detected in the region (defined as the states of New
249 York, New Jersey, and Connecticut) during the same time period. Of note, while many infections
250 in mammals have been reported in the Americas including with severe (and often neurological)
251 symptoms and outcomes; most have been 'dead end' infections in scavengers or predatory
252 animals which presumably fed on infected birds or bird carcasses (20-22). However, mammal-to-
253 mammal transmission is suspected in several outbreaks in fur farms in Europe and in marine
254 mammals in South America (26-28) and recent cases in cows in several US states and in a goat
255 raise concerns.

256 Our study shows that clade 2.3.4.4b H5N1 highly pathogenic avian influenza can be present in
257 birds that migrate through or live in urban centers. This highlights the importance of viral
258 surveillance at the urban animal-human interface in which wild animals may potentially interact
259 with a high density population of humans and their pets. Humans may interact with infected birds
260 directly (handling an injured bird) or indirectly (e.g. by coming in contact with feces or
261 contaminated water in parks). Pets including cats and dogs are susceptible to HPAI H5N1 and
262 transmissions from birds to both pet species have happened via contact with infected birds or bird
263 carcasses – scenarios which could occur in urban green spaces where pets are frequently taken

264 (32-35, 54). Our study highlights these risks. However, it needs to be emphasized that a very
265 small number of birds were found positive. Of note, the low percentage of positive animals could
266 also be due to the sensitivity of the screening pipeline used and other assays or tests may produce
267 a higher number of positives.

268 An important aspect of our work is to involve the population and all stakeholders in surveillance
269 efforts and communicate findings and risks efficiently. In order to do so, we have shared and
270 discussed our results with the New York City Department of Health and Mental Hygiene and we
271 have worked out a communication strategy. Junior Scientists from the New York City Virus
272 Hunters Program have also shared the results of our study with the public during our annual
273 symposium in June of 2023. We believe it is important for the public to understand that HPAI
274 H5N1 may be present in birds, as well as their feces and other secretions in urban spaces, that
275 sick or weirdly behaving birds (or other wildlife) should be reported to the authorities and only be
276 handled by professionals in proper personal protective equipment, and that pets should be kept
277 away from urban wildlife. Furthermore, it is important for physicians in urban centers to know
278 about the potential presence of HPAI H5N1 and be aware that atypical influenza cases in humans
279 may be caused by avian influenza viruses in humans. So far studies suggest that North American
280 clade 2.3.4.4b viruses are susceptible to all classes of influenza drugs which are available as
281 treatment options (41).

282 In summary, through a science outreach and community science project, we found clade 2.3.4.4b
283 H5N1 highly pathogenic avian influenza viruses in New York City birds. The presence of the virus
284 poses a low but non-zero risk for humans and pets and more awareness about the presence of
285 this virus in the urban animal-human interface is needed.

286
287

288 **Methods and Materials**

289

290 **Sample collection**

291 No birds were killed for the purposes of this study. Work at Mount Sinai was approved by the
292 Icahn School of Medicine at Mount Sinai Institutional Animal Care and Use Committee
293 (IPROTO202300000038). Sampling in New York City parks was permitted by the New York City
294 Parks and Recreation. WBF operate under a Department of Environmental Conservation license
295 and both WBF and ACC operate under a U.S. Fish & Wildlife Service Migratory Bird permit. Fecal
296 and swab samples from live, sick and recently deceased or euthanized birds were provided by
297 WBF and ACC and were collected by veterinarians or licensed veterinary technicians as part of
298 standard veterinary care of the birds. Water samples (3 ml each) were collected at WBF's indoor
299 waterfowl rehabilitation pool, using sterile pipettes and stored individually in cryotubes. Sampling
300 focused on aquatic birds particularly *Anseriformes* (including *Anas* ducks, geese and swans),
301 *Ciconiiformes* (including gulls, cormorants and shorebirds) and raptors (including hawks, eagles
302 and falcons). All live bird sampling was performed by New York State licensed wildlife
303 rehabilitators employed by the WBF or ACC. Fecal, oropharyngeal and cloacal swabs were
304 collected from each bird using sterile flocked nylon-tipped swabs and stored individually in
305 cryovials containing either MicroTest viral transport medium (Thermo Scientific, USA) or medium
306 containing 50% phosphate-buffered saline and 50% glycerol, supplemented with 1% Antibiotic-
307 Antimycotic 100X (Gibco, Thermo Scientific, USA). Samples were kept at 4°C for up to 4 hours,
308 stored at -20°C for up to 7 days and then stored at -80°C . Cold chain was maintained throughout

309 delivery of samples to the laboratory. Environmental fecal samples that appeared fresh (still moist)
310 were collected opportunistically in urban parks and greenspaces where birds were observed
311 congregating, sacrificing the specific identity of the birds being sampled. Environmental fecal
312 samples included in this study were collected on the following locations and dates (12 sampling
313 field trips total): Manhattan, New York: Central Park (May 2022, January and November 2023),
314 Riverside Park (April and June 2023), Tompkins Square Park (April 2022 and May 2023) and
315 South Bronx, New York: Saint Mary's Park (October 2023). For each location samples were
316 obtained over a wide area of interest, and not a single point. To avoid sampling the same bird
317 more than once, samples were collected with a minimum distance of 20 cm between each other.
318 A transect sampling strategy was employed when sampling around bodies of water, like city
319 ponds. All samples were collected and preserved in the same manner as those collected from
320 live birds. When possible, samples were collected avoiding visible uric acid and soil to prevent
321 potential contamination of PCR inhibitors.

322 **RNA extraction and RT-PCR**

323 Fecal samples were diluted in phosphate-buffered saline, pH 7.4 (1X, Thermo Scientific, USA) for
324 processing. Suspended fecal samples, oropharyngeal swabs and cloacal swabs, were
325 centrifuged at $4,000 \times g$ for 15 min and viral RNA was extracted from each supernatant using the
326 QIAamp Viral RNA minikit (Qiagen, USA) according to the manufacturer's instructions. The Stool
327 Total RNA purification kit (Norgen Biotek Corporation, Canada) was also used to extract RNA
328 from fecal samples. Samples collected from the same bird were not pooled. Conventional two
329 step reverse transcriptase polymerase chain reaction (RT-PCR) was employed using the
330 Invitrogen SuperScript IV first-strand synthesis system (Thermo Scientific, USA) for cDNA
331 synthesis and DreamTaq Green PCR Master Mix (2X) (Thermo Scientific, USA) for RT-PCR.
332 First, cDNA was synthesized using a minimum of 250 ng of RNA at 55°C for 10 min using a
333 previously described universal primer (Uni12, AGCAAAGCAGG (55)). Then cDNA was
334 amplified using previously described primers for HPAI H5N1 surveillance that target the
335 nucleoprotein (NP, NP1200 Forward, CAGRTACTGGGCHATAAGRAC and NP1529 Reverse,
336 GCATTGTCTCCGAAGAAATAAG (56)), matrix (M, M52C Forward,
337 CTTCTAACCGAGGTCGAAACG and M253R Reverse, AGGGCATTGGACAAKCGTCTA
338 (56)) and hemagglutinin (H5, H5.2344-1673 Forward, TACCAAATAYTGCAATTTATTCAAC and
339 H5.2344-1749 Reverse, GTAAYGACCCRTTRGARCACATCC (57)) genes. Primers for HA (H5)
340 were included for prompt identification of HPAI H5N1 viruses, facilitating quick notification of
341 partner organizations as necessary to handle infected birds. Cycling conditions for the multiplex
342 PCR consisted of a pre-denaturation step at 95°C for 1 min, followed by 30 cycles of denaturation
343 at 95°C for 1 min, annealing at 45°C for 30 s, and extension at 72°C for 30 s, with a final extension
344 step at 72°C for 5 min. PCR amplicons were visualized with SYBR Safe DNA Gel Stain in 2%
345 Ultra Pure Agarose (Thermo Scientific, USA). DNA bands were excised and purified using the
346 QIAquick Gel Extraction Kit (Qiagen, USA) and sent for commercial Sanger sequencing (through
347 Genewiz, New Jersey facility) to confirm the identity of samples that screened positive. Samples
348 that screened positive for H5 HA and also were identified as H5 by Sanger sequencing (to exclude
349 false positives) were reported to the USDA. The remaining sample material was moved to Mount
350 Sinai's select agent facility for storage.

351

352 **Next generation sequencing**

353 Samples which tested positive for H5, NP and M by PCR were then used for next generation
354 sequencing. RT-PCR products were quantified on a Qubit 4 Fluorometer using HS DNA reagents
355 (Invitrogen). A volume of 3.5 μ L of the cDNA product was used in a 50 μ L PCR reaction with
356 Phusion™ High-Fidelity DNA Polymerase (2 U/ μ L) (ThermoFisher). Three universal Influenza A
357 primers at a 0.20 μ M concentration were used in the PCR reaction. Commonuni13
358 (GCCGGAGCTCTGCAGATATCAGTAGAAACAAGG), Commonuni12G
359 (GCCGGAGCTCTGCAGATATCAGCGAAAGCAGG) and Commonuni12A
360 (GCCGGAGCTCTGCAGATATCAGCA AAAGCAGG), 0.2 μ M dNTPs and 1X HF buffer were also
361 components of the reaction. Single reaction multiplex PCR was performed for sample
362 amplification. Amplification occurred under the following cycling parameters: samples were
363 initially denatured at 94°C for 2 minutes, then underwent 5 cycles of 94°C for 30 seconds, 45°C
364 for 30 seconds and 60°C for 3 minutes. Following these cycling parameters 31 cycles of 94°C for
365 thirty seconds, 57°C for 30 seconds, and 68°C for 3 minutes, followed by a 4°C hold. PCR
366 products underwent DNA purification and size selection using AMPure XP beads. Library
367 preparation was performed using the NEXTRA XT DNA Library Preparation Kit (Illumina, CA,
368 USA) following manufacturer protocol to generate multiplex paired end sequencing libraries. Post
369 fragmentation automated electrophoresis was performed using the Tape Station 1450 (Agilent
370 Technologies). Sample libraries were quantified using a Qubit 4 Fluorometer (Invitrogen). Sample
371 molarity was determined according to the following formula:

372

$$373 \frac{(\text{Sample concentration in ng/ul})}{(\text{Average number of bp} \times 660 \text{ g/mols})} \times 10^6$$

374

375 Samples were pooled using Illumina's pooling calculator in equimolar amounts, creating a paired
376 end fragmented library pool in which each sample is represented with unique indices. Upon
377 pooling and diluting samples to 4nM using 8.5pH 10mM tris(hydroxymethyl)aminomethane (Tris)-
378 HCl with 0.1% Tween20, 'Protocol A: Standard Normalization method' from the 'illumina MiSeq
379 System: Denature and Dilute Libraries Guide' was followed. Samples were sequenced using a
380 Miseq device (Illumina, CA, USA) at a final concentration of 12 pM with a 15% PhiX spike in. After
381 the 2 x 300bp Miseq paired-end sequencing run, the instrument performed base calling on the
382 data, and collected reads with matching indices to generate paired end forward and reverse read
383 Illumina FASTQ files for each sample.

384 Illumina reads in the form of FASTQ files are produced by the Miseq with adapter sequences on
385 the 5' and 3' ends for sample identification, therefore the first step in analysis was to remove the
386 non-viral DNA. FASTQ files were uploaded to the Galaxy web platform, and several tools
387 available through the *usegalaxy.org* public server (58), were used for genome assembly.
388 Cutadapt (Martin) was used to removed adaptor sequences and to generate FASTA files for
389 analysis. Metagenome *de novo* assembly was performed using the metaSPAdes approach.
390 Nucleotide to protein BLAST (NCBI) was performed on generated sequences to confirm identity
391 and relevance (59). Influenzas A virus segments were identified by NCBI Flu annotator (60).
392 Nucleotide to nucleotide BLAST was run to determine reference sequences. FASTA files were
393 then indexed and mapped to the reference genome using BWA-MEM2 (61) and *Simple Illumina*
394 parameters. BWA-MEM2 produces sorted and indexed BAM files. To account for potential
395 laboratory-derived influenza virus contaminants that could alter the final consensus sequences,

396 we performed the same analysis on a filtered read set, generated by taking the unaligned reads
397 leftover after aligning the data to the A/Puerto Rico/8/1934 reference genome (NCBI Taxonomy
398 ID id183764) using Bowtie2 (62). To ensure only reads definitively derived from known
399 contaminants were excluded, and no reads derived from influenza virus from the sample were
400 discarded, we adjusted the parameters of the alignment algorithm. To increase strictness, the
401 alignment was run in end-to-end mode and increased the mismatch penalties, gap opening and
402 extension penalties, and used the maximum seed substring length allowing for zero sequence
403 mismatches in the seed alignment during multiseed alignment. Final analysis and consensus
404 sequences were produced using the indexed BAM file and Ivar Consensus (63) and Geneious.
405 The antigenic genotypes of the consensus sequences were confirmed using NCBI Flu
406 annotator. Nucleotide to protein BLAST (NCBI) was performed on generated sequences to
407 confirm identity and relevance. Sequence relevance is determined by the location of isolation and
408 date of isolation in terms of the closest reference sequence, as well as its similarity to existing
409 reference sequences for HPAI H5N1 viruses from 2022 forward.

410

411 **Phylogenetic analysis**

412 A phylogenetic analysis comparing the sequences obtained from next generation sequencing with
413 other recent H5N1 sequences was performed. All available HA and NA sequences from H5N1
414 strains collected since January 1st, 2020 were downloaded as FASTA files from the NCBI
415 influenza virus database (<https://www.ncbi.nlm.nih.gov/genomes/FLU/Database/nph-select.cgi>)
416 on October 26 2023. 50 pairs of HA and NA sequences (from the same influenza virus strain)
417 were randomly selected for inclusion in the phylogenetic tree. In addition to our sequences, the
418 following sequences were used: A/black vulture/Georgia/W22-1049/2022: WEV84579,
419 WEV84580; A/bald eagle/Florida/W22-189/2022: OP221398, OP221399; A/mule-
420 duck/France/22030/2022: OQ632831, OQ632862; A/duck/Bangladesh/49673/2021: OP023902,
421 OP023904; A/duck/Egypt/BA20360C/2022: OP590397, OP590399;
422 A/duck/Bangladesh/49671/2021: OP023710, OP023712; A/black vulture/North Carolina/W22-
423 1051A/2022: OQ694936, OQ694937; A/goose/Czech Republic/18520-2/2021: OL638145,
424 OL638147; A/chicken/Lesotho/352.3/2021: OL477524, OL477526; A/turkey
425 vulture/Valparaiso/230187-1/2022: OR125345, OR125347; A/black vulture/Georgia/W22-
426 719C/2022: OQ584791, OQ584792; A/striped skunk/Kansas/W23-175/2023: OQ954544,
427 OQ954545; A/duck/Bangladesh/51601/2021: OP030702, OP030704; A/blue-winged
428 teal/Texas/UGAI22-3226/2022: OQ733076, OQ733077; A/blue-winged teal/Texas/UGAI22-
429 2961/2022: OQ733108, OQ733109; A/pelican/Atacama/229450-2/2022: OR125340, OR125342;
430 A/black vulture/Georgia/W22-723A/2022: OQ600260, OQ584498; A/chicken/Nigeria/VRD21-
431 109_21VIR2370-425/2021: MW961460, MW961462; A/black vulture/South Carolina/W22-
432 1080B/2022: OQ694870, OQ694871; A/pelecanus thagus/Peru/AIS0541/2022: OQ547335,
433 OQ547337; A/black vulture/Georgia/W22-675B/2022: OQ584544, OQ584545; A/black
434 vulture/Georgia/W22-619A/2022: OQ584552, OQ584553; A/black vulture/South Carolina/W22-
435 623/2022: OQ584575, OQ584576; A/mule-duck/France/22027/2022: OQ632829, OQ632861;
436 A/chicken/France/21328/2021: OQ632895, OQ632900; A/great-tailed grackle/Kansas/W22-
437 1223C/2022: OQ734910, OQ734911; A/pelican/Antofagasta/228272-1/2022: OR125399,
438 OR125401; A/black vulture/Virginia/W22-499A/2022: OP377388, OP377389;
439 A/pelican/Valparaiso/234040/2023: OR125162, OR125164; A/duck/Bangladesh/43521/2020:

440 MW466215, MW466211; A/black vulture/Georgia/W22-722C/2022: OQ584606, OQ584607;
441 A/wild duck/Colombia/Choco/3501/2022: OQ683498, OQ683500;
442 A/duck/Bangladesh/46156/2020: OM938314, OM938316; A/goose/OH/OH22-21298/2022:
443 OR136609, OR136611; A/duck/Bangladesh/46161/2020: OM938292, OM938294; A/herring
444 gull/North Carolina/W1215B/2022: OQ734937, OQ734938; A/bald eagle/North Carolina/W23-
445 142B/2023: OQ732988, OQ732989; A/brown pelican/North Carolina/W23-019/2022: OQ734918,
446 OQ734919; A/poultry/Benin/21-A-08-034-O/2021: ON870434, ON943071; A/bald
447 eagle/Florida/W22-195/2022: OP221327, OP221328; A/bald eagle/Georgia/W22-194A/2022:
448 OP221382, OP221383; A/duck/Bangladesh/51600/2021: OP030710, OP030712; A/gallus
449 gallus/Peru/AIS0551/2022: OQ547415, OQ547417; A/bald eagle/Kansas/W22-185/2022:
450 OP377646, OP377647; A/bald eagle/North Carolina/W23-012/2022: OQ982396, OQ982397;
451 A/common tern/Maine/W22-480A/2022: OP377502, OP377503; A/black vulture/Georgia/W22-
452 719B/2022: OQ737753, OQ737754; A/chicken/OH/OH22-21172-2/2022: OR136572, OR136574;
453 A/duck/Champasak/263/2022: OR105066, OR105068; A/Belcher's_gull/Peru/A102/2022:
454 OQ747759, OQ747766. The sequences were aligned with MUSCLE(64), and were manually
455 trimmed to remove non-coding regions before and after the protein sequence. The tree was
456 created in MEGA 11 (<https://www.megasoftware.net>) using the Maximum Likelihood method (65)
457 with a bootstrap test (n=100). Initial trees were generated with the Neighbor-Join and BioNJ
458 algorithms applied to a matrix of pairwise distances created using the Tamura-Nei model, and the
459 topology with the superior log likelihood value was selected. Multiple sequence alignment of
460 amino acid sequences was performed with Clustal Omega v1.2.4. Protein structure was
461 visualized with UCSF ChimeraX, using the publicly available H5 structure #6V (42).

462

463 **Genotyping and survey of HPAI H5N1 detected in New York, New Jersey, and** 464 **Connecticut**

465 Genotyping was carried out according to a method and data pipeline established by Youk *et al.*,
466 (16) (<https://github.com/USDA-VS/GenoFLU>). HPAI H5N1 sequences from samples collected in
467 New York, Connecticut, and New Jersey between August 2022 and April 2023 were downloaded
468 on March 26, 2024 from Global Initiative for Sharing All Influenza Database (GISAID). HPAI H5N1
469 detection data for August 2022 – April 2023 was downloaded on March 24, 2024 from the United
470 States Department of Agriculture, Animal and Plant Health Inspection Service (USDA APHIS)
471 database on wild bird HPAI H5N1 detections. The following sequences were used:
472 A/domestic_duck/New_Jersey/22-032412-001-original: EPI2264144, EPI2264145, EPI2264143,
473 EPI2264147, EPI2264140, EPI2264146, EPI2264142, EPI2264141;
474 A/African_goose/New_Jersey/22-033679-001-original: EPI2263775, EPI2263776, EPI2263774,
475 EPI2263778, EPI2263771, EPI2263777, EPI2263773, EPI2263772; A/wild_turkey/New_York/22-
476 034864-002-original: EPI2263599, EPI2263600, EPI2263598, EPI2263602, EPI2263595,
477 EPI2263601, EPI2263597, EPI2263596; A/chicken/New_Jersey/22-035003-009-original:
478 EPI2263463, EPI2263464, EPI2263462, EPI2263466, EPI2263459, EPI2263465, EPI2263461,
479 EPI2263460; A/chicken/New_York/22-035475-001-original: EPI2260707, EPI2260708,
480 EPI2260706, EPI2260710, EPI2260703, EPI2260709, EPI2260705, EPI2260704;
481 A/chicken/New_York/22-035476-001-original: EPI2260715, EPI2260716, EPI2260714,
482 EPI2260718, EPI2260711, EPI2260717, EPI2260713, EPI2260712;
483 A/Muscovy_duck/New_York/22-036304-003-original: EPI2260795, EPI2260796, EPI2260794,

484 EPI2260798, EPI2260791, EPI2260797, EPI2260793, EPI2260792; A/chicken/New_York/22-
485 036304-007-original: EPI2260803, EPI2260804, EPI2260802, EPI2260806, EPI2260799,
486 EPI2260805, EPI2260801, EPI2260800; A/American_crow/New_York/23-004806-001-
487 original: EPI2613579, EPI2613580, EPI2613578, EPI2613582, EPI2613575, EPI261358,
488 EPI2613577, EPI2613576; A/great_horned_owl/New_York/23-005127-001-original:
489 EPI2613603, EPI2613604, EPI2613602, EPI2613606, EPI2613599, EPI2613605,
490 EPI2613601, EPI2613600; A/red-tailed_hawk/New_York/23-005536-001-original: EPI2613635,
491 EPI2613636, EPI2613634, EPI2613638, EPI2613631, EPI2613637, EPI2613633,
492 EPI2613632; A/American_crow/New_York/23-005128-001-original: EPI2613611, EPI2613612,
493 EPI2613610, EPI2613614, EPI2613607, EPI2613613, EPI2613609, EPI2613608;
494 A/peregrine_falcon/New_York/23-005700-001-original: EPI2613699, EPI2613700, EPI2613698,
495 EPI2613702, EPI2613695, EPI2613701, EPI2613697, EPI2613696;
496 A/Canada_goose/New_York/23-005698-001-original: EPI2613683, EPI2613684, EPI2613682,
497 EPI2613686, EPI2613679, EPI2613685, EPI2613681, EPI2613680;
498 A/Canada_goose/New_York/23-005699-001-original: EPI2613691, EPI2613692, EPI2613690,
499 EPI2613694, EPI2613687, EPI2613693, EPI2613689, EPI2613688;
500 A/American_crow/New_York/23-005695-001-original: EPI2613675, EPI2613676, EPI2613674,
501 EPI2613678, EPI2613671, EPI2613677, EPI2613673, EPI2613672;
502 A/Canada_goose/New_York/23-006363-001-original: EPI2613779, EPI2613780, EPI2613778,
503 EPI2613782, EPI2613775, EPI2613781, EPI2613777, EPI2613776;
504 A/American_crow/New_York/23-006663-001-original: EPI2613819, EPI2613820, EPI2613818,
505 EPI2613822, EPI2613815, EPI2613821, EPI2613817, EPI2613816;
506 A/Canada_goose/New_York/23-006664-001-original: EPI2613827, EPI2613828, EPI2613826,
507 EPI2613830, EPI2613823, EPI2613829, EPI2613825, EPI2613824;
508 A/Canada_goose/New_York/23-008112-001-original: EPI2614003, EPI2614004, EPI2614002,
509 EPI2614006, EPI2613999, EPI2614005, EPI2614001, EPI2614000;
510 A/American_crow/New_York/23-009037-001-original: EPI2613979, EPI2613980, EPI2613978,
511 EPI2613982, EPI2613975, EPI2613981, EPI2613977, EPI2613976;
512 A/peregrine_falcon/New_York/23-009036-001-original: EPI2613971, EPI2613972, EPI2613970,
513 EPI2613974, EPI2613967, EPI2613973, EPI2613969, EPI2613968;
514 A/American_crow/New_York/23-009035-001-original: EPI2613963, EPI2613964, EPI2613962,
515 EPI2613966, EPI2613959, EPI2613965, EPI2613961, EPI2613960; A/red-
516 tailed_hawk/Connecticut/23-009886-001-original: EPI2614123, EPI2614124, EPI2614122,
517 EPI2614126, EPI2614119, EPI2614125, EPI2614121, EPI2614120; A/red-
518 tailed_hawk/Connecticut/23-009880-001-original: EPI2614115, EPI2614116, EPI2614114,
519 EPI2614118, EPI2614111, EPI2614117, EPI2614113, EPI2614112;
520 A/turkey_vulture/New_York/23-011005-001-original: EPI2614171, EPI2614172, EPI2614170,
521 EPI2614174, EPI2614167, EPI2614173, EPI2614169, EPI2614168; A/red-
522 shouldered_hawk/New_York/23-012563-001-original: EPI2614339, EPI2614340, EPI2614338,
523 EPI2614342, EPI2614335, EPI2614341, EPI2614337, EPI2614336;
524 A/Canada_goose/New_York/23-013244-001-original: EPI2614395, EPI2614396, EPI2614394,
525 EPI2614398, EPI2614391, EPI2614397, EPI2614393, EPI2614392;
526 A/American_crow/New_York/23-014010-001-original: EPI2614427, EPI2614428, EPI2614426,
527 EPI2614430, EPI2614423, EPI2614429, EPI2614425, EPI2614424;

528 A/Canada_goose/New_York/23-014011-001-original: EPI2614435, EPI2614436, EPI2614434,
529 EPI2614438, EPI2614431, EPI2614437, EPI2614433, EPI2614432

530

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555

556 **Conflict of interest statement**

557 The Icahn School of Medicine at Mount Sinai has filed patent applications relating to SARS-CoV-
558 2 serological assays, NDV-based SARS-CoV-2 vaccines influenza virus vaccines and influenza
559 virus therapeutics which list Florian Krammer as co-inventor. Mount Sinai has spun out a
560 company, Kantaro, to market serological tests for SARS-CoV-2 and another company, Castlevax,
561 to develop SARS-CoV-2 vaccines. Florian Krammer is co-founder and scientific advisory board
562 member of Castlevax. Florian Krammer has consulted for Merck, Curevac, Seqirus and Pfizer
563 and is currently consulting for 3rd Rock Ventures, GSK, Gritstone and Avimex. The Krammer
564 laboratory is also collaborating with Dynavax on influenza vaccine development. All other authors
565 declare no conflicts.

566

567 **Data availability statement**

568 Sequences have been uploaded to GenBank and can be retrieved under the following identifiers:
569 OR818561- OR81856, OR818637 - OR818644, OR818684 - OR818691, OR819057-
570 OR819064, OR858836 - OR858843, OR819337 - OR819344.

571

572

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805 Tables

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807 **Table 1: Details on samples for virological analysis collected from different avian species**
808 **present at Wild Bird fund or Animal Care Centers of New York City.**

809

	Host Species	Scientific name	Order Taxa	Family Taxa	Total samples	HPAI H5N1 positive samples
1	American bittern	<i>Botaurus lentiginosus</i>	Pelecaniformes	Ardeidae	2	
2	Coopers hawk	<i>Accipiter cooperii</i>	Accipitriformes	Accipitridae	22	
3	Hawk	<i>Accipitridae sp.</i>	Accipitriformes	Accipitridae	2	
4	Red-shouldered hawk	<i>Buteo lineatus</i>	Accipitriformes	Accipitridae	4	

5	Red-tailed hawk	<i>Buteo jamaicensis</i>	Accipitriformes	Accipitridae	81	1
6	Sharp-shinned hawk	<i>Accipiter striatus</i>	Accipitriformes	Accipitridae	3	
7	Dovekie	<i>Alle alle</i>	Charadriiformes	Alcidae	2	
8	American black duck	<i>Anas rubripes</i>	Anseriformes	Anatidae	2	
9	Black scoter	<i>Melanitta americana</i>	Anseriformes	Anatidae	2	
10	Brandt goose	<i>Branta bernicla</i>	Anseriformes	Anatidae	12	
11	Canada goose	<i>Branta canadensis</i>	Anseriformes	Anatidae	222	3
12	Domestic duck	<i>Anas p. domesticus</i>	Anseriformes	Anatidae	8	
13	Domestic goose	<i>Anas a. domesticus</i>	Anseriformes	Anatidae	2	
14	Duck	<i>Anas sp.</i>	Anseriformes	Anatidae	98	
15	Goose	<i>Anatidae sp.</i>	Anseriformes	Anatidae	10	
16	Mallard	<i>Anas platyrhynchos</i>	Anseriformes	Anatidae	92	
17	Muscovy duck	<i>Cairina moschata</i>	Anseriformes	Anatidae	3	
18	Mute swan	<i>Cygnus olor</i>	Anseriformes	Anatidae	43	
19	Northern shoveler	<i>Spatula clypeata</i>	Anseriformes	Anatidae	3	
20	Ruddy Duck	<i>Oxyura jamaicensis</i>	Anseriformes	Anatidae	9	
21	Snow goose	<i>Anser caerulescens</i>	Anseriformes	Anatidae	11	
22	Swan	<i>Cygnus sp.</i>	Anseriformes	Anatidae	5	
23	Wood duck	<i>Aix sponsa</i>	Anseriformes	Anatidae	13	
24	Great blue heron	<i>Ardea herodias</i>	Pelecaniformes	Ardeidae	6	
25	Green heron	<i>Butorides virescens</i>	Pelecaniformes	Ardeidae	1	
26	Least bittern	<i>Ixobrychus exilis</i>	Pelecaniformes	Ardeidae	2	
27	Night Heron	<i>Ardeidae sp.</i>	Pelecaniformes	Ardeidae	4	
28	Yellow-crowned night heron	<i>Nyctanassa violacea</i>	Pelecaniformes	Ardeidae	8	
29	Homing pigeon	<i>Columba livia domestica</i>	Columbiformes	Columbidae	1	
30	Mourning Dove	<i>Zenaida macroura</i>	Columbiformes	Columbidae	7	
31	Rock pigeon	<i>Columba livia</i>	Columbiformes	Columbidae	41	
32	American crow	<i>Corvus brachyrhynchos</i>	Passeriformes	Corvidae	51	

33	Common raven	<i>Corvus corax</i>	Passeriformes	Corvidae	8	
34	Crow	<i>Corvus sp.</i>	Passeriformes	Corvidae	2	
35	Fish crow	<i>Corvus ossifragus</i>	Passeriformes	Corvidae	18	
36	Raven	<i>Corvus sp.</i>	Passeriformes	Corvidae	6	
37	American kestrel	<i>Falco sparverius</i>	Falconiformes	Falconidae	39	
38	Kestrel	<i>Falco sp.</i>	Falconiformes	Falconidae	2	
39	Peregrine Falcon	<i>Falco peregrinus</i>	Falconiformes	Falconidae	12	1
40	Loon	<i>Gavia sp.</i>	Gaviiformes	Gaviidae	2	
41	Red-throated loon	<i>Gavia stellata</i>	Gaviiformes	Gaviidae	5	
42	Arctic tern	<i>Sterna paradisaea</i>	Charadriiformes	Laridae	2	
43	Great black-backed gull	<i>Larus marinus</i>	Charadriiformes	Laridae	47	
44	Gull	<i>Laridae sp.</i>	Charadriiformes	Laridae	2	
45	Herring gull	<i>Larus argentatus</i>	Charadriiformes	Laridae	180	
46	Laughing gull	<i>Leucophaeus atricilla</i>	Charadriiformes	Laridae	52	
47	Ring-billed gull	<i>Larus delawarensis</i>	Charadriiformes	Laridae	62	
48	Seagull	<i>Laridae sp.</i>	Charadriiformes	Laridae	3	
49	Guineafowl	<i>Numida sp.</i>	Galliformes	Numididae	2	
50	Osprey	<i>Pandion haliaetus</i>	Accipitriformes	Pandionidae	5	
51	Tufted titmouse	<i>Baeolophus bicolor</i>	Passeriformes	Paridae	1	
52	Northern waterthrush	<i>Parkesia noveboracensis</i>	Passeriformes	Parulidae	1	
53	White-throated sparrow	<i>Zonotrichia albicollis</i>	Passeriformes	Passerellidae	2	
54	House sparrow	<i>Passer domesticus</i>	Passeriformes	Passeridae	6	
55	Cormorant	<i>Phalacrocorax sp.</i>	Phalacrocorax	Phalacrocoracidae	10	
56	Double-crested cormorant	<i>Nannopterum auritum</i>	Suliformes	Phalacrocoracidae	33	
57	Barred rock chicken	<i>Gallus gallus domesticus</i>	Galliformes	Phasianidae	4	
58	Chicken	<i>Gallus gallus domesticus</i>	Galliformes	Phasianidae	302	1
59	Fowl	<i>Gallus sp.</i>	Galliformes	Phasianidae	36	
60	Japanese quail	<i>Coturnix japonica</i>	Galliformes	Phasianidae	3	

61	Partridge	<i>Arborophila sp.</i>	Galliformes	Phasianidae	12	
62	Pheasant	<i>Phasianus colchicus</i>	Galliformes	Phasianidae	5	
63	Quail	<i>Coturnix coturnix</i>	Galliformes	Phasianidae	11	
64	Turkey	<i>Phasianidae sp.</i>	Galliformes	Phasianidae	4	
65	Wild turkey	<i>Meleagris gallopavo</i>	Galliformes	Phasianidae	7	
66	Yellow-bellied Sapsucker	<i>Sphyrapicus varius</i>	Piciformes	Picidae	4	
67	Grebe	<i>Podiceps sp.</i>	Podicipediformes	Podicipedidae	3	
68	Cory's shearwater	<i>Calonectris borealis</i>	Procellariiformes	Procellariidae	1	
69	Great shearwater	<i>Ardenna gravis</i>	Procellariiformes	Procellariidae	2	
70	Parrot	<i>Psittacidae sp.</i>	Psittaciformes	Psittacidae	3	
71	American coot	<i>Fulica americana</i>	Gruiformes	Rallidae	5	
72	American woodcock	<i>Scolopax minor</i>	Charadriiformes	Scolopacidae	2	
73	Barred owl	<i>Strix varia</i>	Strigiformes	Strigidae	3	
74	Great horned owl	<i>Bubo virginianus</i>	Strigiformes	Strigidae	6	
75	Northern saw-whet owl	<i>Aegolius acadicus</i>	Strigiformes	Strigidae	8	
76	European starling	<i>Sturnus vulgaris</i>	Passeriformes	Sturnidae	1	
77	Gannet	<i>Sulidae sp.</i>	Suliformes	Sulidae	2	
78	Northern Gannet	<i>Morus bassanus</i>	Suliformes	Sulidae	4	
79	American robin	<i>Turdus migratorius</i>	Passeriformes	Turdidae	4	
80	Robin	<i>Turdidae sp.</i>	Passeriformes	Turdidae	3	
	Unknown				89	
	Total bird samples				1798	6

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812 **Table 2. Clinical and sampling information for wild birds positive on RT-PCR for highly**
 813 **pathogenic avian influenza (H5N1) in New York City from January 2022 to November 2023.**

Sample ID	Sample type	Species (common name)	Species (scientific name)	Location	Sampling date	Clinical signs
22-6038	Cloacal swab	Canada goose	<i>Branta canadensis</i>	Corner of Hutchinson River Pkwy. East and Wilkinson Avenue, Bronx, NY	August 24, 2022	Died before intake exam.
22-8477	Fecal	Red tailed hawk	<i>Buteo jamaicensis</i>	Belt Pkwy/Nassau Expressway, Queens, NY	October 22, 2022	Neurologic symptoms, loss of leg function, torticollis, glottis wide open
22-9190	Oropharyngeal swab	Canada goose	<i>Branta canadensis</i>	Fountain Avenue, Brooklyn, NY	December 3, 2022	Oculi uterque, eyes cloudy and occluded, head tremors, ataxia, unable to stand.
160820	Oropharyngeal swab	Peregrine falcon	<i>Falco peregrinus</i>	Corner of Gerritsen Avenue and Avenue V, Brooklyn, NY	December 18, 2022	unavailable
23-0453	Oropharyngeal swab	Canada goose	<i>Branta canadensis</i>	Beach 73 rd Street Avene, Queens, NY	February 1, 2023	Neurologic symptoms, ataxia, severe head tremors, partial torticollis,

						labored breathing w/ OU cloudy.
168127	Fecal	Chicken	<i>Gallus gallus domesticus</i>	Corner of 120 th Street and 5th Avenue, Manhattan, NY	April 2, 2023	unavailable

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Table 3: Genotypes of the detected H5N1 strains. American lineages are abbreviated as “am”, and Eurasian lineages are abbreviated as “ea”. Influenza virus gene segments are abbreviated as follows: polymerase basic 2 (PB2), polymerase basic 1 (PB1), polymerase acidic (PA), hemagglutinin (HA), nucleoprotein (NP), neuraminidase (NA), matrix (M), and nonstructural protein (NS).

Strain	Genotype	PB2	PB1	PA	HA	NP	NA	M	NS
A/red-tailed hawk/New York/NYCVH 22-8477/2022	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
A/Canada goose/New York/NYCVH 22-6038/2022	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
A/Canada goose/New York/NYCVH 22-9190/2022	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
A/peregrine falcon/New York/NYCVH 160820/2022	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
A/Canada goose/New York/NYCVH 23-453/2023	B3.2	am2.1	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
A/chicken/New York/NYCVH 168127/2023	B3.3	am2.2	am1.4	ea1	ea1	am1.4.1	ea1	ea1	am1.1

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Table 4: Genotypes of strains detected in New York, New Jersey, and Connecticut from August 2022 – April 2023. American lineages are abbreviated as “am”, and Eurasian lineages are abbreviated as “ea”. Influenza virus gene segments are abbreviated as follows: polymerase basic 2 (PB2), polymerase basic 1 (PB1), polymerase acidic (PA), hemagglutinin (HA), nucleoprotein (NP), neuraminidase (NA), matrix (M), and nonstructural protein (NS).

Collection date	Strain	Genotype	PB2	PB1	PA	HA	NP	NA	M	NS
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10/11/2022	A/domestic_duck/New_Jersey/22-032412-001-original	B1.1	am1.1	am1.1	ea1	ea1	am1.2	ea1	ea1	ea1
10/21/2022	A/African_goose/New_Jersey/22-033679-001-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
10/28/2022	A/wild_turkey/New_York/22-034864-002-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
11/1/2022	A/chicken/New_Jersey/22-035003-009-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
11/3/2022	A/chicken/New_York/22-035475-001-original	B2.2	am1.2	ea1	ea1	ea1	am1.1	ea1	ea1	am1.2
11/3/2022	A/chicken/New_York/22-035476-001-original	B2.2	am1.2	ea1	ea1	ea1	am1.1	ea1	ea1	am1.2
11/7/2022	A/Muscovy_duck/New_York/22-036304-003-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
11/7/2022	A/chicken/New_York/22-036304-007-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
2/8/2023	A/American_crow/New_York/23-004806-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
2/10/2023	A/great_horned_owl/New_York/23-005127-001-original	B1.2	am1.2	ea1	ea1	ea1	am1.1	ea1	am1	am1.1
2/11/2023	A/red-tailed_hawk/New_York/23-005536-001-original	B2.2	am1.2	ea1	ea1	ea1	am1.1	ea1	ea1	am1.2
2/13/2023	A/American_crow/New_York/23-005128-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
2/13/2023	A/peregrine_falcon/New	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1

	_York/23-005700-001-original									
2/15/2023	A/Canada_goose/New_York/23-005698-001-original	B1.2	am1.1	am1.1	am1	ea1	am1.2	ea1	ea1	ea1
2/15/2023	A/Canada_goose/New_York/23-005699-001-original	B1.2	am1.1	am1.1	am1	ea1	am1.2	ea1	ea1	ea1
2/15/2023	A/Canada_goose/New_York/23-005699-001-original	B1.2	am1.1	am1.1	am1	ea1	am1.2	ea1	ea1	ea1
2/20/2023	A/American_crow/New_York/23-005695-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
2/22/2023	_A/Canada_goose/New_York/23-006363-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
2/27/2023	A/American_crow/New_York/23-006663-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
2/27/2023	A/Canada_goose/New_York/23-006664-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
3/6/2023	A/Canada_goose/New_York/23-008112-001-original	B1.2	am1.1	am1.1	am1	ea1	am1.2	ea1	ea1	ea1
3/15/2023	A/American_crow/New_York/23-009037-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
3/16/2023	A/peregrine_falcon/New_York/23-009036-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
3/17/2023	A/American_crow/New_York/23-009035-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1

3/23/2023	_A/red-tailed_hawk/Connecticut/23-009886-001-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
3/23/2023	A/red-tailed_hawk/Connecticut/23-009880-001-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
4/6/2023	A/turkey_vulture/New_York/23-011005-001-original	B3.3	am2.2	am1.4	ea1	ea1	am1.4.1	ea1	ea1	am1.1
4/13/2023	A/red-shouldered_hawk/New_York/23-012563-001-original	B3.2	am2.1	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
4/21/2023	_A/Canada_goose/New_York/23-013244-001-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1
4/27/2023	_A/American_crow/New_York/23-014010-001-original	B3.5	am3.2	am1.2	ea1	ea1	am1.4.1	ea1	ea1	am1.1
4/27/2023	A/Canada_goose/New_York/23-014011-001-original	B1.3	am1.3	am1.3	am1.2	ea1	am1.2	ea1	ea1	ea1

832

833

Figure legends

834

835 **Figure 1. Sampling location of birds that confirmed positive for highly pathogenic avian**

836 **influenza H5N1 virus (HPAI H5N1) in New York City.** This map illustrates the sampling locations

837 of birds that tested positive for the highly pathogenic avian influenza H5N1 virus (HPAI H5N1) in

838 New York City. The approximate locations are plotted based on geocoded addresses (latitude

839 and longitude), providing a visual representation of affected areas. Major parks and natural areas

840 are highlighted in green and labeled for context. The map was created using the leaflet package

841 for mapping visualizations, with additional spatial data handling and aesthetic enhancements

842 performed using the sf, ggplot2, and dplyr packages in RStudio/Posit (Version 2023.09.1+494).

843 The basemap was provided by CARTO, with data sourced from OpenStreetMap under the Open

844 Data Commons Open Database License (ODbL) by the OpenStreetMap Foundation (OSMF).

845

846 **Figure 2. Phylogenetic tree of HA and NA genes of the detected viruses in comparison to**

847 **sequences from GenBank.** HA (A) and NA (B) gene sequences from 50 strains of H5N1 influenza

848 virus were randomly selected from all available strains collected between January 1 2020 and

849 October 26 2023 (NCBI influenza virus database,
850 <https://www.ncbi.nlm.nih.gov/genomes/FLU/Database/nph-select.cgi>). The 50 randomly selected
851 H5N1 sequences and the 6 NYCVH H5N1 sequences were used to create a phylogenetic tree in
852 MEGA 11 (<https://www.megasoftware.net>) using the maximum likelihood method and Tamura-
853 Nei model (65) with a bootstrap test (n=100). Bootstrap support values are shown by branch
854 nodes. Scale bar indicates percent difference in nucleotide sequence.

855 **Figure 3. Amino acid sequence analysis of detected HPAI H5N1 strains.** A multiple sequence
856 alignment was performed using the HA sequences of NYCVH-detected strains and *A/bald*
857 *eagle/FL/W22-134-OP/2022* in Clustal Omega. Only areas of the alignment with amino acid
858 differences are shown. Residues which were different in NYCVH strains compared to *A/bald*
859 *eagle/FL/W22-134-OP/2022* were highlighted in red on an H5N1 structure based on
860 *A/chicken/Vietnam/4/2003* (PDB #6VMZ) (42), visualized with UCSF ChimeraX. V510I and
861 A522V are changes to internal positions in the head domain. The receptor binding site and
862 antigenic sites are indicated by blue highlighting and red outline, respectively.

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864 **Supplemental Figure 1. HPAI H5N1 detections in New York, New Jersey, and Connecticut,**
865 **August 2022 – April 2023.** Detections of HPAI H5N1 from the area surrounding New York City
866 during the time period in which HPAI H5N1 was detected in New York City birds by NYCVH. Data
867 retrieved on from the USDA APHIS database on wild bird HPAI H5N1 detections.

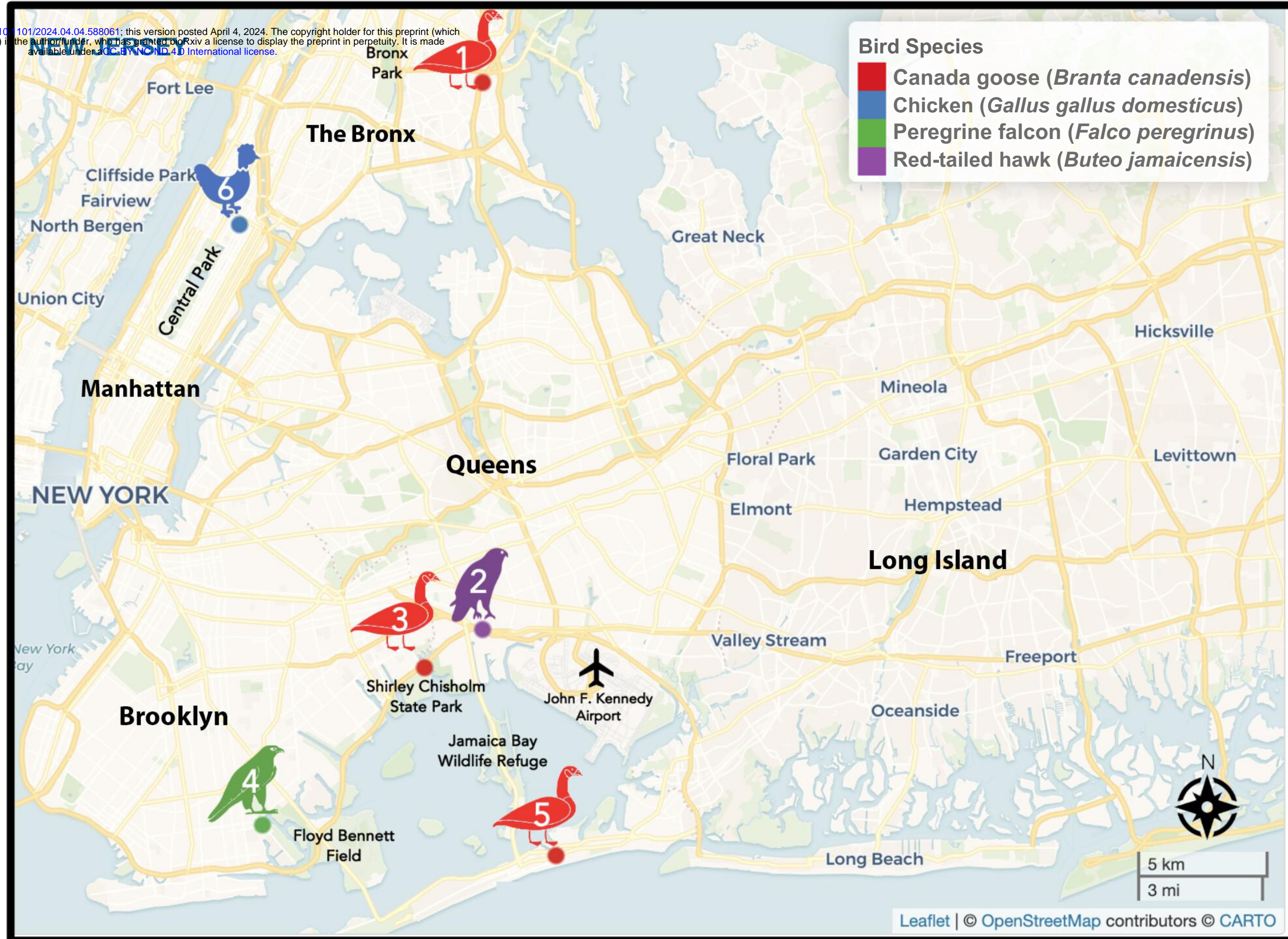
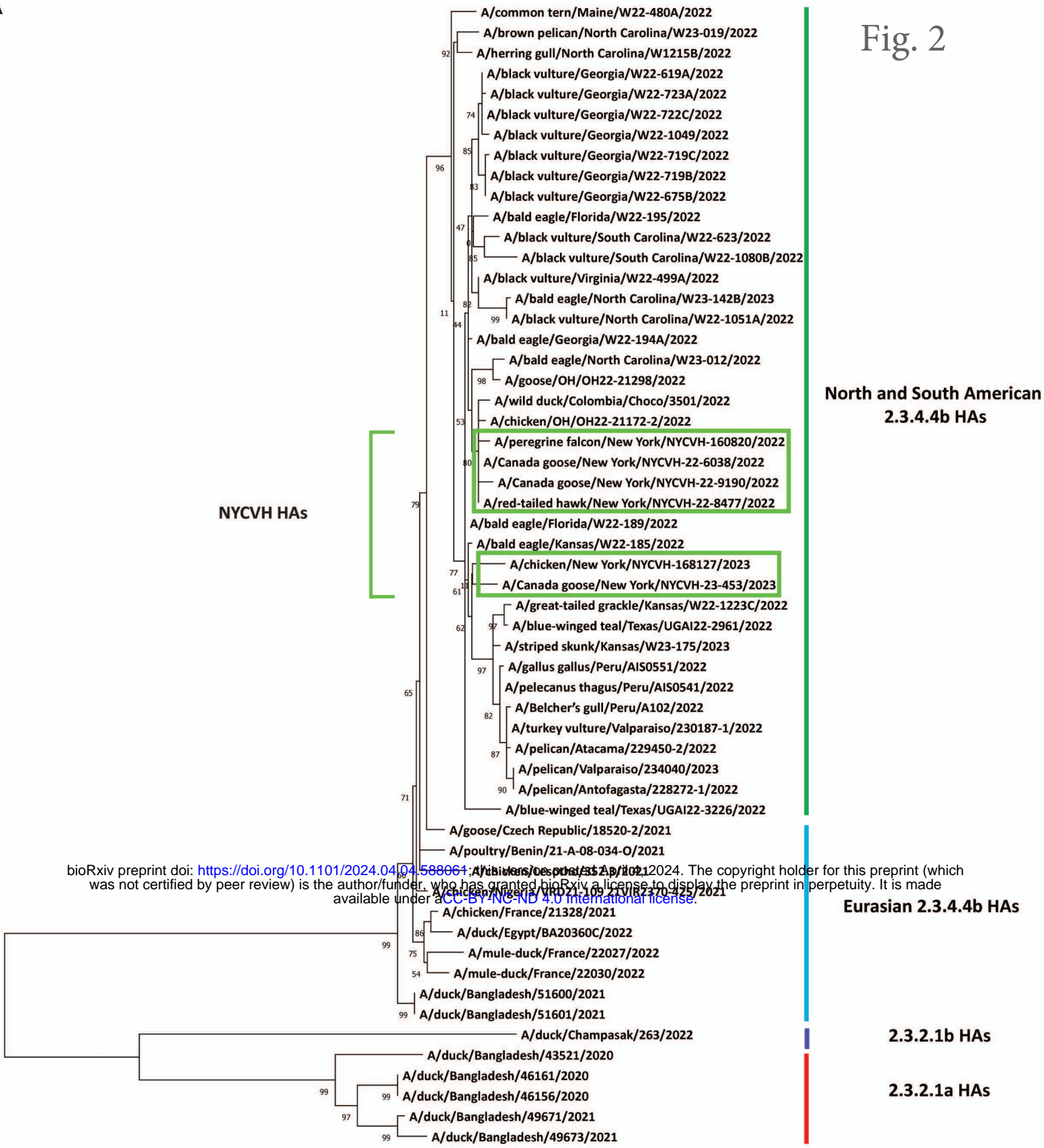


Fig. 2



B

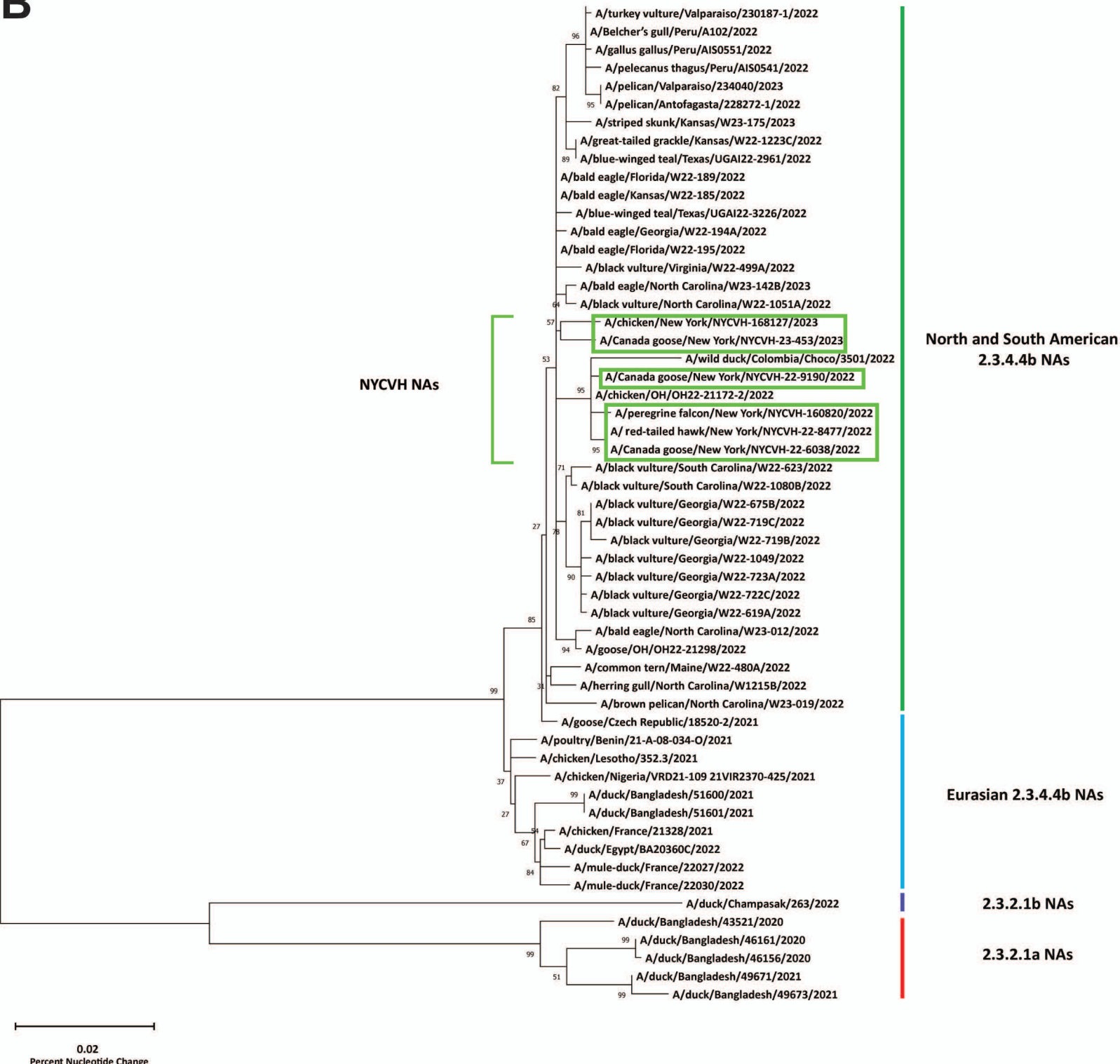


Fig. 3

A/bald_eagle/FL/W22-134-OP/2022
 A/Canada_goose/New_York/NYCVH-23-453/2023
 A/Canada_goose/New_York/NYCVH-22-9190/2022
 A/chicken/New_York/NYCVH-168127/2023
 A/Canada_goose/New_York/NYCVH-22-6038/2022
 A/red-tailed_hawk/New_York/NYCVH-22-8477/2022
 A/peregrine_falcon/New_York/NYCVH-160820/2022

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A/bald_eagle/FL/W22-134-OP/2022
 A/Canada_goose/New_York/NYCVH-23-453/2023
 A/Canada_goose/New_York/NYCVH-22-9190/2022
 A/chicken/New_York/NYCVH-168127/2023
 A/Canada_goose/New_York/NYCVH-22-6038/2022
 A/red-tailed_hawk/New_York/NYCVH-22-8477/2022
 A/peregrine_falcon/New_York/NYCVH-160820/2022

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A/bald_eagle/FL/W22-134-OP/2022
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 A/Canada_goose/New_York/NYCVH-22-9190/2022
 A/chicken/New_York/NYCVH-168127/2023
 A/Canada_goose/New_York/NYCVH-22-6038/2022
 A/red-tailed_hawk/New_York/NYCVH-22-8477/2022
 A/peregrine_falcon/New_York/NYCVH-160820/2022

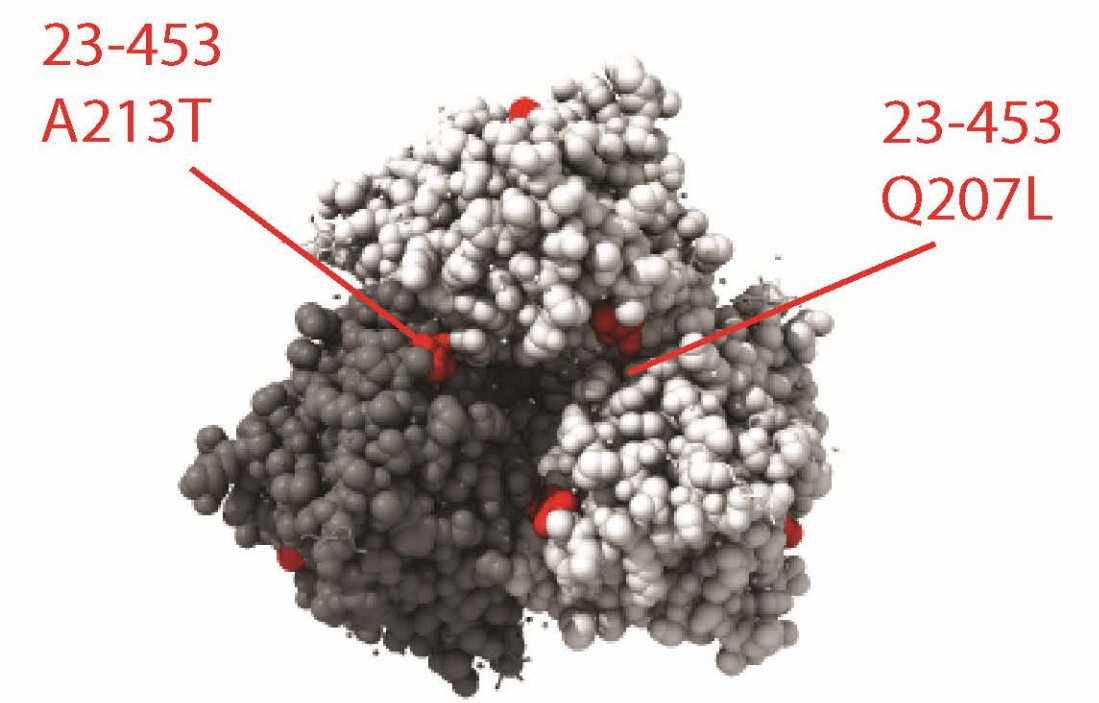
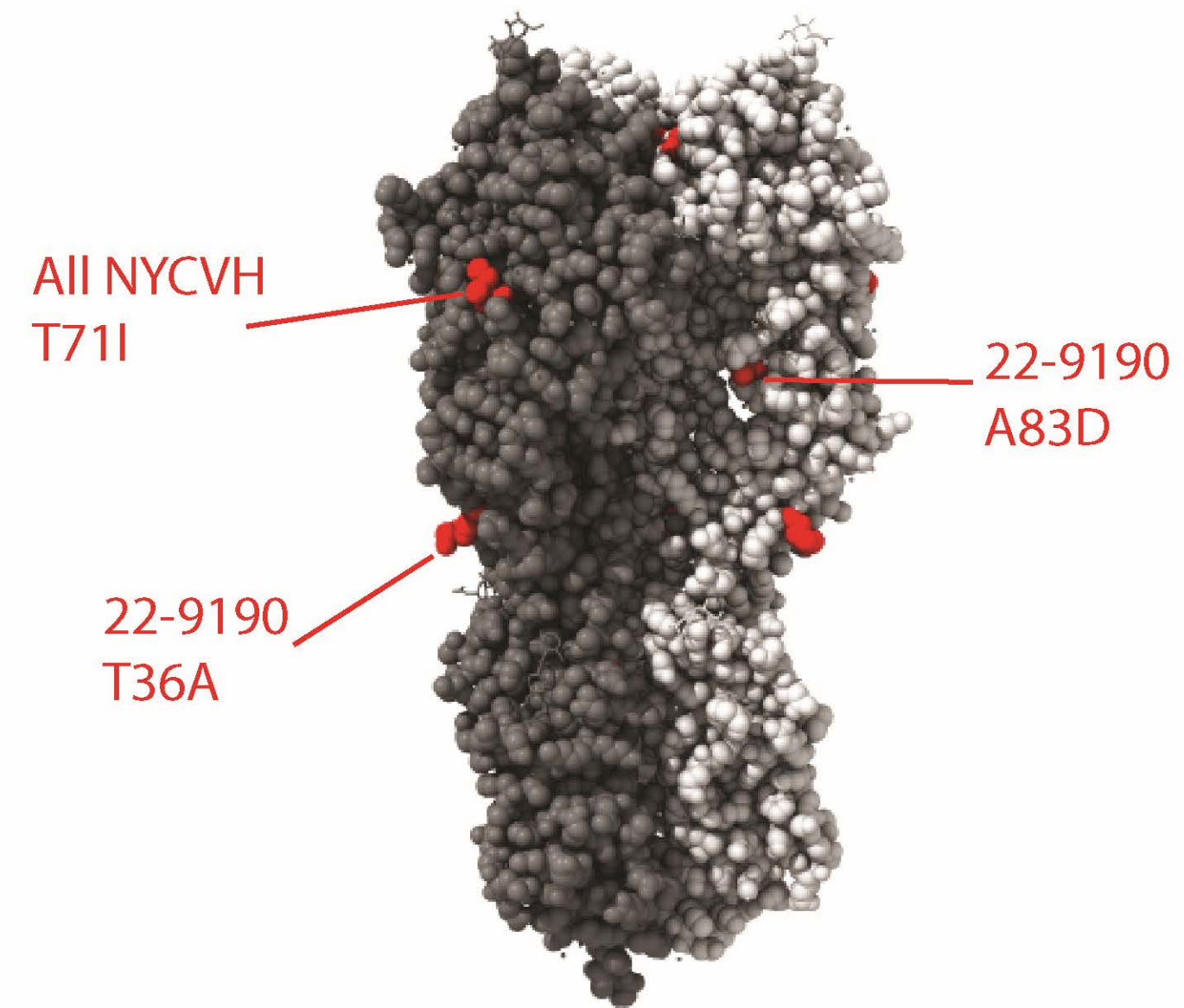
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A/bald_eagle/FL/W22-134-OP/2022
 A/Canada_goose/New_York/NYCVH-23-453/2023
 A/Canada_goose/New_York/NYCVH-22-9190/2022
 A/chicken/New_York/NYCVH-168127/2023
 A/Canada_goose/New_York/NYCVH-22-6038/2022
 A/red-tailed_hawk/New_York/NYCVH-22-8477/2022
 A/peregrine_falcon/New_York/NYCVH-160820/2022

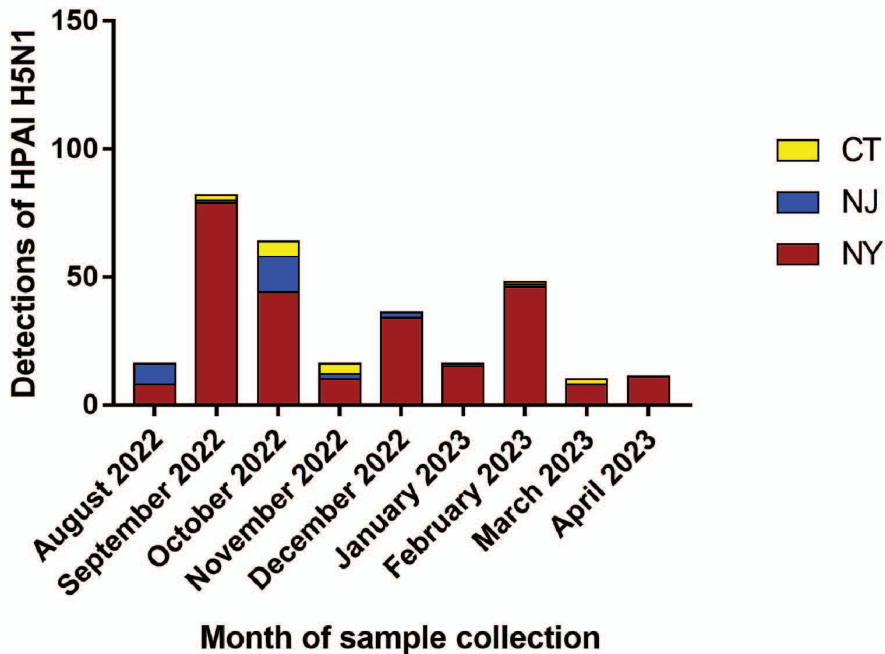
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A/bald_eagle/FL/W22-134-OP/2022
 A/Canada_goose/New_York/NYCVH-23-453/2023
 A/Canada_goose/New_York/NYCVH-22-9190/2022
 A/chicken/New_York/NYCVH-168127/2023
 A/Canada_goose/New_York/NYCVH-22-6038/2022
 A/red-tailed_hawk/New_York/NYCVH-22-8477/2022
 A/peregrine_falcon/New_York/NYCVH-160820/2022

VRNGTYDYPQYSEEARLKREEISGVKLESVGT YQILSIYSTAASSLALAIMMAGLSLWMC 540
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HPAI H5N1 detections in New York, New Jersey, and Connecticut August 2022 - April 2023



S. Fig. 1