

MAJOR ARTICLE

Protection from COVID-19 mrna vaccination and prior SARS-cov-2 infection against COVID-19–associated encounters in adults during Delta and Omicron predominance

Catherine H. Bozio, PhD, MPH¹; Kristen A. Butterfield, MPH²; Melissa Briggs Hagen, MD, MPH¹; Shaun Grannis, MD, MSc^{3,4}; Paul Drawz, MD, MHS, MS⁵; Emily Hartmann, MPP⁶; Toan C. Ong, PhD⁷; Bruce Fireman, MA⁸; Karthik Natarajan, PhD^{9,10}; Kristin Dascomb, MD, PhD¹¹; Manjusha Gaglani, MBBS^{12,13}; Malini B. DeSilva, MD, MPH¹⁴; Duck-Hye Yang, PhD²; Claire M. Midgley, PhD¹; Brian E. Dixon, MPA, PhD^{3,15}; Allison L. Naleway, PhD¹⁶; Nancy Grisel, MPP¹¹; I-Chia Liao, MPH¹²; Sarah E. Reese, PhD²; William F. Fadel, PhD^{3,15}; Stephanie A. Irving, MHS¹⁶; Ned Lewis, MPH⁸; Julie Arndorfer, MPH¹¹; Kempapura Murthy, MBBS, MPH¹²; John Riddles, MS²; Nimish R. Valvi, DrPH³; Mufaddal Mamawala, MBBS, MPH¹²; Peter J. Embi, MD, MS^{3,17}; Mark G. Thompson, PhD¹; Edward Stenehjem, MD, MSc¹¹

Corresponding author: Catherine Bozio, PhD, MPH, Centers for Disease Control and Prevention, 1600 Clifton Rd NE, MS H24-7, Atlanta, GA 30333, USA, ise7@cdc.gov, +1 404 718 5697 **Alternate corresponding author:** Edward Stenehjem, MD, MSc, Intermountain Healthcare, Eddie.stenehjem@imail.org

Published by Oxford University Press on behalf of Infectious Diseases Society of America 2023. This work is written by (a) US Government employee(s) and is in the public domain in the US.

¹The VISION Network includes Baylor, Scott, and White Health (BSWH; Texas), Columbia University Irving Medical Center (New York), HealthPartners (Minnesota and Wisconsin), Intermountain Healthcare (IH; Utah), Kaiser Permanente Northern California (California), Kaiser Permanente Northwest (KPNW; Oregon and Washington), Paso Del Norte Health Information Exchange-PHIX (Texas), Regenstrief Institute (RG; Indiana), and University of Colorado (Colorado).

²Partners contributing data on medical events and estimated dates of Omicron predominance were in Indiana (December 26), Oregon (December 24), Texas (December 16), Utah (December 24), and Washington (December 24).

³45 C.F.R. part 46; 21 C.F.R. part 56

¹Centers for Disease Control and Prevention COVID-19 Emergency Response Team, Atlanta, Georgia, USA ; ²Westat, Rockville, Maryland, USA; ³Center for Biomedical Informatics, Regenstrief Institute, Indianapolis, Indiana, USA; ⁴Indiana University School of Medicine, Indianapolis, Indiana, USA; ⁵Division of Nephrology & Hypertension, University of Minnesota, Minneapolis, Minnesota, USA; ⁶Paso Del Norte Health Information Exchange, El Paso, Texas, USA; ⁷School of Medicine, University of Colorado Anschutz Medical Campus, Aurora, Colorado, USA; ⁸Kaiser Permanente Vaccine Study Center, Kaiser Permanente Northern California Division of Research, Oakland, California, USA; ⁹Department of Biomedical Informatics, Columbia University Irving Medical Center, New York, New York, USA; ¹⁰New York Presbyterian Hospital, New York, New York, USA; ¹¹Division of Infectious Diseases and Clinical Epidemiology, Intermountain Healthcare, Salt Lake City, Utah, USA; ¹²Baylor Scott & White Health, Texas A&M University College of Medicine, Temple, Texas, USA; ¹³Texas A&M University College of Medicine, Temple, Texas¹⁴HealthPartners Institute, Minneapolis, Minnesota, USA; ¹⁵Fairbanks School of Public Health, Indiana University, Indianapolis, Indiana, USA; ¹⁶Center for Health Research, Kaiser Permanente Northwest, Portland, Oregon, USA; ¹⁷Regenstrief Institute, Indianapolis, Indiana, USA

Background: Data assessing protection conferred from COVID-19 mRNA vaccination and/or prior SARS-CoV-2 infection during Delta and Omicron predominance periods in the U.S. are limited.

Methods: This cohort study included persons ≥ 18 years who had ≥ 1 healthcare encounter across four health systems and had been tested for SARS-CoV-2 before August 26, 2021. COVID-19 mRNA vaccination and prior SARS-CoV-2 infection defined the exposure. Cox regression estimated hazard ratios (HRs) for the Delta and Omicron periods; protection was calculated as (1-HR)x100%.

Results: Compared to unvaccinated and previously uninfected persons, during Delta predominance, protection against COVID-19-associated hospitalizations was high for those 2- or 3-dose vaccinated and previously infected, 3-dose vaccinated alone, and prior infection alone (range:91%-97%, with overlapping 95% confidence intervals (95%CIs)); during Omicron predominance, estimates were lower (range:77%-90%). Protection against COVID-19-associated emergency department/urgent care (ED/UC) encounters during Delta predominance was high for those exposure groups (range:86%-93%); during Omicron predominance, protection remained high for those 3-dose vaccinated with or without a prior infection (76% (95%CI=67%-83%) and 71% (95%CI=67%-73%), respectively).

Conclusions: COVID-19 mRNA vaccination and/or prior SARS-CoV-2 infection provided protection against COVID-19-associated hospitalizations and ED/UC encounters regardless of variant. Staying up-to-date with COVID-19 vaccination still provides protection against severe COVID-19 disease, regardless of prior infection.

<u>Key words:</u> COVID-19 vaccination; prior SARS-CoV-2 infection; protection; COVID-19associated hospitalizations; Omicron variant

INTRODUCTION

Prior SARS-CoV-2 infection or COVID-19 vaccination provides protection against subsequent moderate and severe COVID-19 disease (1-6). The SARS-CoV-2 B.1.1.529 (Omicron) variant emerged in the United States in mid-December 2021 and resulted in high reinfection and breakthrough infection rates (7, 8). Studies have examined the protection induced from prior infection and/or vaccination against laboratory-confirmed SARS-CoV-2 infections (1, 9-11) and COVID-19–associated hospitalizations during Omicron predominance (10-12). However, data evaluating the protective effect of vaccination and/or prior infection in both the Delta and Omicron predominance periods in the United States are limited.

The Centers for Disease Control and Prevention (CDC), in collaboration with nine U.S. healthcare systems and research centers with integrated medical, laboratory, and vaccination records, established the VISION Network to assess COVID-19 vaccine effectiveness. Our objective for this analysis was to assess and compare the protection conferred from COVID-19 mRNA vaccination and/or prior SARS-CoV-2 infection against laboratory-confirmed COVID-19–associated emergency department/urgent care (ED/UC) encounters and hospitalizations in adults during periods of Delta and Omicron predominance.

METHODS

Study Population and Design

Within the VISION Network¹, four sites (BSWH, IH, KPNW, and RG) contributed longitudinal data to construct a patient cohort of adults aged ≥ 18 years who had ≥ 1 healthcare encounter in the year prior to August 26, 2021. For IH and KPNW, adults were also required to have active membership in the system's health plan during the study period.

The study period during Delta predominance began August 26, 2021, 14 days after the first U.S. recommendation for a third mRNA COVID-19 primary vaccine dose in immunocompromised persons (13). The dates when the Omicron variant became predominant were determined for each study site beginning on the date that the Omicron variant accounted for >50% of sequenced isolates in each medical facility's state and in national genomic surveillance² (5). Within each variant predominance period, two cohorts were created to differentiate follow-up time up until the occurrence of a COVID-19–associated ED/UC encounter or hospitalization. Follow-up for the Omicron analysis continued through June 13, 2022, the last date of data extraction. Patients were followed until the occurrence of a COVID-19–associated ED/UC encounter or hospitalization. Follow-up for the omicron analysis continued through June 13, 2022, the last date of data extraction. Patients were followed until the occurrence of a COVID-19–associated ED/UC encounter or hospitalization.

whichever occurred first. For those who had received one mRNA dose at the start of the study or when the Omicron variant became predominant and later received a second mRNA dose, followup started two weeks after receipt of the second dose.

We limited the analysis to patients who had ≥ 1 SARS-CoV-2 test (regardless of result) before August 26, 2021. Immunocompromised patients were identified by previously published diagnosis codes (14).

Outcomes

The outcomes were ED/UC encounters and hospitalizations with a COVID-19–like illness diagnosis and a positive molecular test for SARS-CoV-2 within 14 days before or 72 hours after the medical encounter (6).

Exposure variable

Our six-level time-varying exposure variable was defined based on both COVID-19 vaccination status and prior SARS-CoV-2 infection (Table S1). Vaccination status was obtained via electronic health records (EHRs) and state immunization registries. Prior infection for each variant predominance period was ascertained from test results documented in EHRs, and linked records with the state health department and public health testing sites (including retail pharmacies) for one site (RG), using rapid antigen or molecular assays. The index test date was defined as the date of specimen collection associated with the most recent positive SARS-CoV-2 test result within the 14 days prior to the COVID-19-associated ED/UC encounter or hospitalization, or the date of the encounter if testing only occurred after the admission or encounter date. Adults were considered unvaccinated if no COVID-19 vaccine doses were documented, 2-dose mRNA vaccinated if the second dose of an mRNA vaccine (BNT162b2 or mRNA-1273) was received ≥14 days before the index test date, and 3-dose mRNA vaccinated if the third dose of an mRNA vaccine was received ≥ 7 days before the index test date (15). For each vaccination status, separate exposure levels were created to distinguish between those with and without a documented prior infection. Adults were considered to have a prior infection if the earliest prior positive SARS-CoV-2 test result occurred ≥90 days before the index test date during either Delta or Omicron predominance; persons who had a positive test <90 days before the index date did not start contributing person-time until the 90th day after the positive result (16). Adults were considered to not have a documented prior infection if they had been tested since March 1, 2020 and had no documented positive test results during the study period. Recipients of Ad26.COV2 (Janssen [Johnson & Johnson]) and persons who were not classified into any of the six-level exposure levels (e.g., persons who only had one mRNA dose documented during the entire study period) were excluded.

Statistical analysis

Patients' characteristics were compared across exposure groups; a standardized mean difference of >0.2 was considered noteworthy (17). Kaplan-Meier estimates (18) graphically displayed the cumulative incidence of COVID-19-associated ED/UC encounters and hospitalizations for each variant predominance period.

Hazard ratios comparing the exposure groups with respect to COVID-19–associated ED/UC encounters and hospitalizations were estimated using Cox proportional hazards models adjusting for age, sex, race/ethnicity, total number of underlying conditions, and geographic region *a priori*. The adjusted hazard ratios (aHR) compared the reference group (unvaccinated adults without a documented prior infection) with each of the other five exposure groups defined by vaccination and prior infection; each comparison was estimated separately. We calculated measures of protection using (1-aHR)x100%, analogous to vaccine effectiveness.

Three secondary analyses were conducted. First, to account for the timing since the most recent immunizing event (i.e., prior infection or last vaccine dose), we distinguished the exposure groups between outcomes that occurred <150 or \geq 150 days since the most recent immunizing event (Table S1). Second, we refined exposure groups to account for the order of immunizing events (Table S1). Persons with infections that occurred in between mRNA doses were excluded from this analysis. Third, we limited our main analysis to patients without immunocompromising conditions. All analyses were conducted using SAS software version 9.4 (Cary, NC). This study was reviewed and approved by the Westat, Inc. institutional review board, to which participating sites cede review.³

RESULTS

Participant characteristics

After applying the eligibility criteria from the four contributing sites (Figure S1), 1,303,547 and 1,303,559 adults were included in the cohort followed until a COVID-19-associated ED/UC encounter or hospitalization, respectively, during Delta predominance; within both cohorts, most were women (61%), aged 18–49 years (50%), and non-Hispanic White (71%); 58% had underlying conditions, and 11%-12% had immunocompromising conditions (Tables 1-2). Among persons with immunocompromising conditions, 22% were 3-dose mRNA vaccinated. Characteristics of the 1,442,026 and 1,442,080 adults followed until a COVID-19-associated ED/UC encounter or hospitalization, respectively, during Omicron predominance were similar (Figure S2, Tables 3-4). Among persons with immunocompromising conditions, 34% were 3-dose mRNA vaccinated.

Exposure status

Within both cohorts followed during Delta predominance, 41% adults were unvaccinated, 43% had received two mRNA doses, and 16% had received three mRNA doses; 18% had a documented SARS-CoV-2 infection ≥90 days before the index date of the COVID-19-associated encounter. Distributions of vaccination and prior infection statuses were similar within both cohorts during Omicron predominance, though the proportions of 2-dose mRNA vaccinated adults decreased to 30% and of 3-dose mRNA vaccinated adults increased to 26%. Month of the index test dates associated with COVID-19–associated ED/UC encounters and hospitalizations varied across exposure groups during Delta predominance (Tables 1-2, respectively), though the distribution of month of index test dates for all encounters during Omicron predominance was more similar across exposure groups, with higher proportions in January 2022 (Tables 3-4).

Protection against COVID-19–associated Emergency Department and Urgent Care Encounters

During Delta predominance, cumulative incidence of COVID-19–associated ED/UC encounters gradually increased over time. Incidence was consistently highest among unvaccinated adults without a documented prior infection and lowest for 2- or 3-dose mRNA vaccinated adults with a prior infection (Figure S3). Compared to unvaccinated adults without a documented prior infection, protection against COVID-19–associated ED/UC encounters was high and similar for those 2- or 3-dose mRNA vaccinated with a prior infection, and 3-dose mRNA vaccinated alone (range: 91%–98%, with overlapping 95% confidence intervals (CIs)), though point estimates for those 2- or 3-dose was vaccinated and previously infected were higher at 94% and 98%, respectively (Figure 1). 2-dose mRNA vaccinated adults without a prior infection had lower protection at 72% (95%CI=70%–74%), with a median time from the second dose to the encounter of 259 days (Table 1).

Since the beginning of Omicron predominance, cumulative incidence quickly peaked by 40 days and plateaued for the remaining follow-up period. Incidence was consistently highest for unvaccinated or 2-dose mRNA vaccinated adults without a prior infection and lowest for 3-dose mRNA vaccinated adults with or without a prior infection. Within each exposure group, cumulative incidence of ED/UC encounters was higher during Omicron predominance relative to Delta predominance (Figure S3). Compared to unvaccinated adults without a documented prior infection, protection from 3-dose mRNA vaccination with a documented prior infection remained high at 76% (95%CI=71%-80%). Lower protection was observed from 3-dose mRNA doses without a prior infection (66% (95%CI=64%-69%), 2 mRNA doses with a prior infection (62% (95%CI=58%-66%)), and prior infection alone (37% (95%CI=31%-41%)). Protection was lowest for those 2-dose mRNA vaccination without a documented prior infection (18% (95%CI=14%-22%)), with a median time from the second dose to the encounter of 258 days (Table 3). Within each exposure group, protection was lower during Omicron predominance

compared to Delta predominance, though the decline in protection was smallest for those 3-dose mRNA vaccinated (Figure 1).

Protection against COVID-19-associated Hospitalizations

During Delta predominance, cumulative incidence of COVID-19-associated hospitalizations was lower relative to COVID-19–associated ED/UC encounters within the same period, though trends were otherwise similar (Figure S4). Compared to unvaccinated adults without a documented prior infection, protection against COVID-19–associated hospitalizations was high and similar for those 2- or 3-dose mRNA vaccinated with a prior infection, 3-dose mRNA vaccinated alone, and prior infection alone (range: 91%–96%), though point estimates for those 2- or 3-dose vaccinated with a previous infection were higher at 96% and 95%, respectively (Figure 2). Protection was lower for those only 2-dose mRNA vaccinated at 73% (95%CI=70%–75%), with a median time from the second dose to the hospitalization of 258 days (Table 2).

During Omicron predominance, cumulative incidence of COVID-19–associated hospitalizations were lower relative to Delta predominance, yet still peaked by 50 days since Omicron predominance began and then plateaued. Incidence remained highest for the unvaccinated adults with no documented prior infection and lowest for adults who were 3-dose mRNA vaccinated with a prior infection (Figure S4). Protection was similar for those 2- or 3- dose mRNA vaccinated and previously infected, 3-dose vaccinated alone, and prior infection alone (range: 76%–90%, with overlapping 95% CIs), though the point estimate for those 3-dose vaccinated with a prior infection was highest at 90% (Figure 2). Protection was lowest for those 2-dose mRNA vaccination without a documented prior infection (39% (95%CI=33%–44%)), with a median time from the second dose to the encounter of 282 days (Table 1). Within each exposure group, protection was lower during Omicron predominance compared to Delta predominance, though the decline in protection was smallest for those 3-dose mRNA vaccinated (Figure 2).

Secondary analyses

In a secondary analysis differentiating time since the last immunizing event, protection against COVID-19–associated ED/UC encounters and hospitalizations during Delta predominance was higher for those 2-dose mRNA vaccinated alone when the encounter occurred <150 days since second dose receipt compared to when the encounter occurred \geq 150 days since second dose receipt (Tables S2-S3). Similarly, higher protection against COVID-19–associated ED/UC encounters and hospitalizations during Omicron predominance was observed for those 3-dose mRNA vaccinated alone with more recent second dose receipt compared to more distal receipt (Tables S2-S3). Protection against COVID-19–associated ED/UC encounters during Omicron predominance was also higher for those only previously infected with more recent infection and for those 3-dose mRNA vaccinated with a documented prior infection with more

recent immunizing event (each respectively compared to more distal events) (Table S2). Otherwise, during both variant predominance periods, protection against COVID-19–associated ED/UC encounters and hospitalizations was similar for those 2-dose mRNA vaccinated with a documented prior infection regardless of when the last immunizing event occurred (Table S2-S3).

In another secondary analysis differentiating the order of immunizing events, protection against COVID-19–associated ED/UC encounters during Omicron predominance was higher in those 2dose mRNA vaccinated and were subsequently infected compared to those infected who subsequently received two mRNA doses. Otherwise, protection was similar whether the infection occurred before or after receipt of two or three mRNA doses (Table S2). Protection against COVID-19–associated hospitalizations during Omicron predominance was similar whether the infection occurred before or after receipt of two mRNA doses (Table S2).

In a final secondary analysis excluding immunocompromised patients, protection against COVID-19–associated ED/UC encounters and hospitalizations during both variant predominant periods were overall similar to that in the main analysis (Tables S2-S3).

DISCUSSION

Within a cohort of over 1.2 million adults across four health systems, unvaccinated persons without a documented prior infection were at highest risk for COVID-19–associated ED/UC encounters and hospitalizations, whereas those with immunity from infection and vaccination (including those who had received boosters) had the lowest risk. Protection from COVID-19 mRNA vaccination and/or prior SARS-CoV-2 infection against COVID-19– associated hospitalizations regardless of variant was high. This pattern was consistent for COVID-19–associated ED/UC encounters during Delta predominance. During Omicron predominance, protection was highest for those 3-dose mRNA vaccinated with or without a prior infection, highlighting the benefit of staying up-to-date regardless of prior infection history. Within each exposure group, protection was lower during Omicron predominance than Delta predominance, though the decline was smallest for those 3-dose mRNA vaccinated.

In this study, protection against COVID-19–associated hospitalizations was similar and high across combinations of mRNA vaccination and/or prior infection during Delta and Omicron predominance, except for 2-dose mRNA vaccination alone. Previous studies examining combinations of prior infection and vaccination both before and during Omicron predominance similarly report conferred high protection against hospitalization compared to each study's respective referent group (10-12, 19, 20), acknowledging that disease due to Omicron variant was less likely to result in hospitalization than Delta variant (21-23). However, in our study, protection conferred from 2-dose mRNA vaccination alone was lower than reported in other studies, especially during Omicron predominance, but likely reflects waning immunity from a

more distal receipt of a second dose (5, 24-26). We also observed that within each exposure group, protection was lower during Omicron predominance than during Delta predominance, though the decline was smallest for those 3-dose mRNA vaccinated. In another study, protection was similar for 2- or 3-dose vaccinated and previously infected adults during Delta and Omicron predominance, and all other combinations of prior infection and vaccination provided similar or lower protection during Omicron predominance relative to Delta predominance (11). Findings from both studies are consistent with evidence indicating that the number of immunizing events correlated with the quality and breadth of neutralizing antibody response, including against the Omicron variant, (27) and that neutralization of Omicron variants and vaccinated (28). Our findings were consistent with similar studies showing that COVID-19 mRNA vaccination with or without infection and prior SARS-CoV-2 infection alone provides protection against subsequent COVID-19–associated encounters.

Our findings continue to highlight the benefit of staying up-to-date with recommended COVID-19 vaccination schedules, regardless of SARS-CoV-2 infection history. For persons without a documented prior infection, a third dose provided substantial protection compared to unvaccinated persons, and that protection was higher than that conferred from receipt of two mRNA doses alone (relative to unvaccinated persons), particularly during Omicron predominance, as consistent with other literature (5, 7, 24, 29). In addition, during Omicron predominance, a third dose provided additional protection against both COVID-19–associated ED/UC encounters and hospitalizations for previously infected persons compared to unvaccinated and previously uninfected persons. That protection was also similar/higher than the protection conferred from 2-dose mRNA vaccination and prior infection (relative to unvaccinated and previously uninfected persons), also consistent with literature (30).

Compared to COVID-19–associated ED/UC encounters, we observed that protection against COVID-19–associated hospitalization was generally higher and more consistent across exposure groups, especially during Omicron predominance. This finding suggests that protection against severe illness might be more robust and/or persist for a longer time, even as protection against comparatively more moderate illness wanes. This pattern has previously been demonstrated in studies comparing protection against SARS-CoV-2 infection vs. COVID-19–associated hospitalization (10-12), although other comparable data on moderate COVID-19 (including COVID-19–associated ED/UC encounters) are sparse.

Among adults with vaccine- and infection-induced immunity, we found that the order of immunizing events did not overall impact the magnitude of protection against COVID-19– associated encounters, though the occurrence of few events within some exposure groups limited a more thorough evaluation. The higher protection against COVID-19–associated ED/UC encounters during Omicron predominance in those 2-dose mRNA vaccinated who were subsequently infected may reflect a more recent infection (and thus conferring higher protection) relative to the second dose receipt in those infected who subsequently received two mRNA

doses. Other epidemiologic and in-vitro studies similarly observed that order did not impact protection against subsequent SARS-CoV-2 infection (9-11, 31). Although we sought to distinguish between more recent and more distal protection across combinations of immunizing events, more formal evaluations are needed to understand duration of protection from both types of immunity against moderate and severe COVID-19 as well as against emerging variants.

This analysis is subject to several limitations. First, although cohort selection was limited to adults engaged in care at partners' medical facilities to maximize data completeness, including about SARS-CoV-2 testing, we were unable to capture results primarily performed outside network facilities, including at-home SARS-CoV-2 testing. Thus, some positive SARS-CoV-2 test results might have been missed, especially if testing practices (including increased at-home testing) changed during Omicron predominance (32). Within our data, testing rates were consistently highest among 3-dose mRNA vaccinated persons and lowest among unvaccinated persons during the study period (Figure S5), resulting in detection of an infection differing by vaccination status; consequently, protection across all exposure groups could be underestimated if some unvaccinated and previously uninfected persons had infections that were not detected. However, we did not observe differences in testing rates associated with COVID-19-associated ED/UC encounters or hospitalizations by vaccination status, which may indicate non-differential misclassification. Second, residual confounding may exist because the study did not measure or adjust for behavioral differences that could modify the risk of the outcome (e.g., masking, socially distancing). Finally, results might not be generalizable to patients who have different access to medical care or different care-seeking or testing behaviors, particularly outside of the five states covered.

Within this U.S.-based study, COVID-19 mRNA vaccination with or without history of prior SARS-CoV-2 infection provided protection against COVID-19–associated hospitalizations and ED/UC encounters regardless of variant. Although infection-induced immunity provides protection, SARS-CoV-2 infection can cause severe disease, death, and long-term morbidity. COVID-19 vaccination is safe and effective at preventing severe COVID-19 disease, and staying up-to-date continues to provide protection, regardless of history of prior infection. COVID-19 vaccination also enhances protection in persons who have been previously infected.

Disclaimer: The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention (CDC).

Funding: This study was funded by the Centers for Disease Control and Prevention through contract 75D30120C07986 to Westat, Inc. and contract 75D30120C07765 to Kaiser Foundation Hospitals. Study sponsors placed no limitations on publication nor required confidentiality in reporting of results.

Conflicts of Interest: All authors have completed and submitted the International Committee of Medical Journal Editors form for disclosure of potential conflicts of interest. Stephanie A. Irving, Manjusha Gaglani, Kempapura Murthy, and Brian E. Dixon report institutional support from Westat. Allison L. Naleway reports institutional support from Pfizer for a study of meningococcal B vaccine safety during pregnancy and from Vir Biotechnology for an influenza study, unrelated to the current work. Kempapura Murthy reports institutional support from CDC for two influenza studies, unrelated to the current work. Manjusha Gaglani reports institutional support from CDC for two influenza studies and two COVID-19 studies, all unrelated to the current work. Brian E. Dixon reports support from U.S. National Institutes of Health, CDC, Agency for Healthcare Research and Quality, and Department of Veterans Affairs related to use and evaluation of health information exchange technologies; royalties from Elsevier and Springer Nature for books; and consulting fees from Merck and Co. for advisory panel on HPV vaccination. No other potential conflicts of interest were disclosed.

References:

- Altarawneh HN, Chemaitelly H, Hasan MR, Ayoub HH, Qassim S, AlMukdad S, et al. Protection against the Omicron Variant from Previous SARS-CoV-2 Infection. N Engl J Med. 2022;386(13):1288-90.
- Bozio CH, Grannis SJ, Naleway AL, Ong TC, Butterfield KA, DeSilva MB, et al. Laboratory-Confirmed COVID-19 Among Adults Hospitalized with COVID-19-Like Illness with Infection-Induced or mRNA Vaccine-Induced SARS-CoV-2 Immunity - Nine States, January-September 2021. MMWR Morb Mortal Wkly Rep. 2021;70(44):1539-44.
- 3. Chemaitelly H, Ayoub HH, AlMukdad S, Coyle P, Tang P, Yassine HM, et al. Protection of prior natural infection compared to mRNA vaccination against SARS-CoV-2 infection and severe COVID-19 in Qatar. medRxiv. 2022.
- 4. Gazit S, Shlezinger R, Perez G, Lotan R, Peretz A, Ben-Tov A, et al. Comparing SARS-CoV-2 natural immunity to vaccine-induced immunity: reinfections versus breakthrough infections. medRxiv. 2021.
- 5. Thompson MG, Natarajan K, Irving SA, Rowley EA, Griggs EP, Gaglani M, et al. Effectiveness of a Third Dose of mRNA Vaccines Against COVID-19-Associated Emergency Department and Urgent Care Encounters and Hospitalizations Among Adults During Periods of Delta and Omicron Variant Predominance - VISION Network, 10 States, August 2021-January 2022. MMWR Morb Mortal Wkly Rep. 2022;71(4):139-45.
- Thompson MG, Stenehjem E, Grannis S, Ball SW, Naleway AL, Ong TC, et al. Effectiveness of Covid-19 Vaccines in Ambulatory and Inpatient Care Settings. N Engl J Med. 2021;385(15):1355-71.
- 7. Andrews N, Stowe J, Kirsebom F, Toffa S, Rickeard T, Gallagher E, et al. Covid-19 Vaccine Effectiveness against the Omicron (B.1.1.529) Variant. N Engl J Med. 2022;386(16):1532-46.
- 8. Pulliam JRC, van Schalkwyk C, Govender N, von Gottberg A, Cohen C, Groome MJ, et al. Increased risk of SARS-CoV-2 reinfection associated with emergence of Omicron in South Africa. Science. 2022;376(6593):eabn4947.

- Andeweg SP, de Gier B, Eggink D, van den Ende C, van Maarseveen N, Ali L, et al. Protection of COVID-19 vaccination and previous infection against Omicron BA.1, BA.2 and Delta SARS-CoV-2 infections. medRxiv. 2022.
- 10. Carazo S, Skowronshki DM, Brisson M, Sauvageau C, Brousseau N, Gilca R, et al. Protection against Omicron re-infection conferred by prior heterologous SARS-CoV-2 infection, with and without mRNA vaccination. medRxiv. 2022.
- 11. Smid M, Berec L, Pribylova L, Majek O, Pavlik T, Jarkovsky J, et al. Protection by vaccines and previous infection against the Omicron variant of SARS-CoV-2. J Infect Dis. 2022.
- 12. Altarawneh H, N., Chemaitelly H, Ayoub HH, Tang P, Hasan MR, Yassine HM, et al. Effect of prior infection, vaccination, and hybrid immunity against symptomatic BA.1 and BA.2 Omicron infections and severe COVID-19 in Qatar. medRxiv. 2022.
- 13. Food and Drug Administration News Release. [Available from: https://www.fda.gov/newsevents/press-announcements/coronavirus-covid-19-update-fda-authorizes-additional-vaccine-dosecertain-immunocompromised.
- Embi PJ, Levy ME, Naleway AL, Patel P, Gaglani M, Natarajan K, et al. Effectiveness of 2-Dose Vaccination with mRNA COVID-19 Vaccines Against COVID-19-Associated Hospitalizations Among Immunocompromised Adults - Nine States, January-September 2021. MMWR Morb Mortal Wkly Rep. 2021;70(44):1553-9.
- Link-Gelles R, Levy ME, Gaglani M, Irving SA, Stockwell M, Dascomb K, et al. Effectiveness of 2, 3, and 4 COVID-19 mRNA Vaccine Doses Among Immunocompetent Adults During Periods when SARS-CoV-2 Omicron BA.1 and BA.2/BA.2.12.1 Sublineages Predominated - VISION Network, 10 States, December 2021-June 2022. MMWR Morb Mortal Wkly Rep. 2022;71(29):931-9.
- Centers for Disease Control and Prevention. Coronavirus Disease 2019 (COVID-19) 2021 Case Definition [Available from: https://ndc.services.cdc.gov/case-definitions/coronavirus-disease-2019-2021/.
- 17. Cohen J. Statistical Power Analysis in the Behavioral Sciences. 2nd ed. New Jersey: Lawrence Erlbaum Associates, Inc.; 1988.
- 18. Kaplan EL, Meier P. Nonparametric Estimation from Incomplete Observations. Journal of the American Statistical Association. 1958;53(282):457-81.
- Nordstrom P, Ballin M, Nordstrom A. Risk of SARS-CoV-2 reinfection and COVID-19 hospitalisation in individuals with natural and hybrid immunity: a retrospective, total population cohort study in Sweden. Lancet Infect Dis. 2022;22(6):781-90.
- 20. Waxman JG, Makov-Assif M, Reis BY, Netzer D, Balicer RD, Dagan N, et al. Comparing COVID-19-related hospitalization rates among individuals with infection-induced and vaccine-induced immunity in Israel. Nat Commun. 2022;13(1):2202.
- 21. Bager P, Wohlfahrt J, Bhatt S, Stegger M, Legarth R, Moller CH, et al. Risk of hospitalisation associated with infection with SARS-CoV-2 omicron variant versus delta variant in Denmark: an observational cohort study. Lancet Infect Dis. 2022;22(7):967-76.
- 22. Lewnard JA, Hong VX, Patel MM, Kahn R, Lipsitch M, Tartof SY. Clinical outcomes associated with SARS-CoV-2 Omicron (B.1.1.529) variant and BA.1/BA.1.1 or BA.2 subvariant infection in southern California. Nat Med. 2022.

- 23. Nyberg T, Ferguson NM, Nash SG, Webster HH, Flaxman S, Andrews N, et al. Comparative analysis of the risks of hospitalisation and death associated with SARS-CoV-2 omicron (B.1.1.529) and delta (B.1.617.2) variants in England: a cohort study. Lancet. 2022;399(10332):1303-12.
- 24. Ferdinands JM, Rao S, Dixon BE, Mitchell PK, DeSilva MB, Irving SA, et al. Waning 2-Dose and 3-Dose Effectiveness of mRNA Vaccines Against COVID-19-Associated Emergency Department and Urgent Care Encounters and Hospitalizations Among Adults During Periods of Delta and Omicron Variant Predominance - VISION Network, 10 States, August 2021-January 2022. MMWR Morb Mortal Wkly Rep. 2022;71(7):255-63.
- Fowlkes A, Gaglani M, Groover K, Thiese MS, Tyner H, Ellingson K, et al. Effectiveness of COVID-19 Vaccines in Preventing SARS-CoV-2 Infection Among Frontline Workers Before and During B.1.617.2 (Delta) Variant Predominance - Eight U.S. Locations, December 2020-August 2021. MMWR Morb Mortal Wkly Rep. 2021;70(34):1167-9.
- Tartof SY, Slezak JM, Fischer H, Hong V, Ackerson BK, Ranasinghe ON, et al. Effectiveness of mRNA BNT162b2 COVID-19 vaccine up to 6 months in a large integrated health system in the USA: a retrospective cohort study. Lancet. 2021;398(10309):1407-16.
- Walls AC, Sprouse KR, Bowen JE, Joshi A, Franko N, Navarro MJ, et al. SARS-CoV-2 breakthrough infections elicit potent, broad, and durable neutralizing antibody responses. Cell. 2022;185(5):872-80 e3.
- Rossler A, Riepler L, Bante D, von Laer D, Kimpel J. SARS-CoV-2 Omicron Variant Neutralization in Serum from Vaccinated and Convalescent Persons. N Engl J Med. 2022;386(7):698-700.
- Tartof SY, Slezak JM, Puzniak L, Hong V, Xie F, Ackerson BK, et al. Durability of BNT162b2 vaccine against hospital and emergency department admissions due to the omicron and delta variants in a large health system in the USA: a test-negative case-control study. Lancet Respir Med. 2022;10(7):689-99.
- Plumb ID, Feldstein LR, Barkley E, Posner AB, Bregman HS, Hagen MB, et al. Effectiveness of COVID-19 mRNA Vaccination in Preventing COVID-19-Associated Hospitalization Among Adults with Previous SARS-CoV-2 Infection - United States, June 2021-February 2022. MMWR Morb Mortal Wkly Rep. 2022;71(15):549-55.
- 31. Bates TA, McBride SK, Leier HC, Guzman G, Lyski ZL, Schoen D, et al. Vaccination before or after SARS-CoV-2 infection leads to robust humoral response and antibodies that effectively neutralize variants. Sci Immunol. 2022;7(68):eabn8014.
- Rader B, Gertz A, Iuliano AD, Gilmer M, Wronski L, Astley CM, et al. Use of At-Home COVID-19 Tests - United States, August 23, 2021-March 12, 2022. MMWR Morb Mortal Wkly Rep. 2022;71(13):489-94.

Table 1. Descriptive characteristics of persons aged ≥ 18 years included in the cohort during Delta predominance followed until COVID-19-associated emergency department/urgent care (ED/UC) encounter, overall and by exposure status— 5 states, August 2021 – June 2022

								fro
				No. (colur	nn %)			m
					,			nttps
				2-dose	2-dose	3-dose	3-dose	://acad
		Unvaccinated	Unvaccinated	mRNA	mRNA	mRNA	mRNA	emic.o
		without	with	vaccinated ²	vaccinated ²	vaccinated ²	vaccinated ²	Standardize
	Entire cohort	documented	documented	without	with	without	with	mean dva
		prior	prior	documented	documented	documented	documented	difference
		infection	infection ¹	prior	prior	prior	prior	cle/doi/1
				infection	infection ¹	infection	infection ¹	0.1093/in
Total number of person-								fdis/jia
time intervals ⁴	1,569,173	501,671	140,706	565,034	108,954	222,589	30,219	d040/70
Sex								0.02
				215035				by gues
Male	611619 (39)	196348 (39)	57929 (41)	(38)	42654 (39)	87579 (39)	12074 (40)	t on 24
Female	957367 (61)	305262 (61)	82759 (59)	349930	66290 (61)	134984	18142 (60)	Februar
								y 2023

			Ċ	(62)		(61)		Down
Other/Unknown	187 (0)	61 (0)	18 (0)	69 (0)	10 (0)	26 (0)	3 (0)	Ilpaded 1
Age group, years								0.35 m http
			e	250488				s://aca
18-49	779538 (50)	300765 (60)	90516 (64)	(44)	55461 (51)	71643 (32)	10665 (35)	demic.ou
				156342				up.com,
50-64	398073 (25)	107020 (21)	30383 (22)	(28)	31011 (28)	63787 (29)	9530 (31)	/jid/advar
65-74	234338 (15)	54628 (11)	11725 (8)	94903 (17)	13760 (13)	53160 (24)	6162 (20)	ice-artic
75-84	117844 (7)	28015 (6)	5736 (4)	48051 (8)	6441 (6)	26710 (12)	2891 (10)	le/doi/1(
≥85	39380 (2)	11243 (2)	2346 (2)	15250 (3)	2281 (2)	7289 (3)	971 (3)),1093/ir
Race/Ethnicity								0.22 fdis/jiac
Hispanic	162982 (10)	55732 (11)	21641 (15)	51978 (9)	16953 (16)	13492 (6)	3186 (10)	D40/702
White, Non-				417054		177963		15997 k
Hispanic	1114156 (71)	337714 (67)	87704 (62)	(74)	71500 (66)	(80)	22221 (73)	by guest
Black, Non-								on 24
Hispanic	192931 (12)	82203 (16)	24462 (17)	54639 (10)	12819 (12)	15774 (7)	3034 (10)	February
		1	1	1			<u> </u>	2023

N

			ć						
Other ⁵ , Non-									Dov
Hispanic	99104 (6)	26022 (5)	6899 (5)	41363 (7)	7682 (7)	15360 (7)	1778 (6)		vnloaded
Presence of at least one								0.26	filom h
underlying condition ⁶								0.26	ttps://acad
				350772		152395			demic.
Yes	903476 (58)	246594 (49)	68101 (48)	(62)	65132 (60)	(68)	20482 (68)		oup.com/j
				214262					jid/adv
No	665697 (42)	255077 (51)	72605 (52)	(38)	43822 (40)	70194 (31)	9737 (32)		ance-arti
Presence of									cle/doi/
immunocompromised	*							0.12	10.100
condition ⁷									93/infdis/jia
Yes	181249 (12)	46908 (9)	10421 (7)	72021 (13)	11239 (10)	36301 (16)	4359 (14)		d040/70-
				493013		186288			15997
No	1387924 (88)	454763 (91)	130285 (93)	(87)	97715 (90)	(84)	25860 (86)		by guest
COVID-19 Vaccinations								5.19	on 24
Unvaccinated	642377 (41)	501671 (100)	140706 (100)	0 (0)	0 (0)	0 (0)	0 (0)		February
	1	ı	1		1	1	ı	ı	2023

			ć					
				207425				
Moderna	324906 (21)	0 (0)	0 (0)	(37)	36885 (34)	71821 (32)	8775 (29)	
				356626		131301		C
Pfizer-BioNTech	578203 (37)	0 (0)	0 (0)	(63)	71909 (66)	(59)	18367 (61)	nps.//acac
Combination of								ernic.c
mRNA products	23687 (1)	0 (0)	0 (0)	983 (0.2)	160 (0.1)	19467 (9)	3077 (10)	
ED/UC encounters with								ןים/ פרעי וים/ פרעי
laboratory-confirmed								0.09
COVID-19-like illness ⁸	3866 (0.2)	2652 (0.5)	108 (0.1)	996 (0.2)	38 (0)	70 (0)	2 (0)	
Month of index test								0 Ogo
date9, among persons								
with COVID-19-								0.87
associated ED/UC								1043997
encounters								by gue:
August 2021	252 (7)	194 (7)	4 (4)	52 (5)	1 (3)	1 (1)	0 (0)	
September 2021	1150 30)	852 (32)	19 (18)	271 (27)	5 (13)	3 (4)	0 (0)	
L	1	1	1	1	1	I	1	

Down
lbaded
from http
os://aca
demic.o
up.com
/jid/adva
ance-art
icle/doi/
'10.1093/j
_

Abbreviations: Emergency Department (ED), Urgent Care (UC)

¹Prior infection was defined as having a positive test result from rapid antigen or molecular assays performed \geq 90 days before the index date of the COVID-19associated encounter.

²Vaccination was defined as having received the listed number of doses of an mRNA-based COVID-19 vaccine \geq 14 days before the medical event index date.

³Standardized mean difference calculation compared characteristics across all six exposure groups. For the six-level exposure variable, a single standardized mean difference (SMD) was calculated by averaging the absolute SMDs obtained from pairwise comparison of each exposure category versus unvaccinated without documented prior infection. Specifically, it was calculated as the average of the absolute value of the SMDs for 1) unvaccinated with documented prior infection versus unvaccinated without documented prior infection, 2) 2-dose mRNA vaccinated without documented prior infection, 3) 2-dose mRNA vaccinated with documented prior infection, 4) 3-dose mRNA vaccinated without documented prior infection, and 5) 3-dose mRNA vaccinated without documented prior infection, without documented prior infection, and 5) 3-dose mRNA vaccinated without documented prior infection.

⁴As people may be reflected in more than one exposure group, the number of person-time intervals was higher than the number of all members in the cohort.

⁵Other race included Asian, Hawaiian or Other Pacific Islander, American Indian or Alaskan Native, Other not-listed, multi-race, and those missing data on race/ethnicity.

fdis/jiad040/7045997 by guest on 24 February 2023

⁶Underlying conditions included asthma, chronic obstructive pulmonary disease, other lung disease, heart failure, ischemic heart disease, hypertension, other heart disease, stroke, other cerebrovascular disease, diabetes (type 1, 2 and due to other specified conditions), other metabolic disease, clinical obesity, clinically underweight, renal disease, liver disease, blood disorder, other immunosuppressive conditions, organ transplant recipient, cancer, dementia, neurological/musculoskeletal disorder or Down's syndrome using diagnosis codes from the International Classification of Diseases, Tenth Revision.

⁷Immunocompromising conditions were derived from lists used in previous studies of large hospital-based or administrative databases and included the following conditions: 1) solid malignancies, 2) hematologic malignancies, 3) rheumatologic or inflammatory disorders, 4) other intrinsic immune conditions or immunodeficiencies, and 5) organ or stem cell transplants.

⁸Medical events with a discharge code consistent with COVID-19–like illness were included. COVID-19–like illness included acute respiratory illness (e.g., COVID-19, respiratory failure, or pneumonia) or related signs or symptoms (cough, fever, dyspnea, vomiting, or diarrhea) using diagnosis codes from the International Classification of Diseases, Ninth Revision and International Classification of Diseases, Tenth Revision. Clinician-ordered molecular assays (e.g., real-time reverse transcription-polymerase chain reaction) for SARS-CoV-2 occurring ≤ 14 days before to <72 hours after the encounter date were included. ⁹Index date for each medical encounter was defined as the date of respiratory specimen collection associated with the most recent positive SARS-CoV-2 test result prior to the medical encounter or the date of the admission or medical encounter if testing only occurred after the admission or encounter date.

Table 2. Descriptive characteristics of persons aged ≥ 18 years included in the cohort during Delta predominance period followed until COVID-19-associated hospitalization, overall and by exposure status— 5 states, August 2021 – June 2022

	7							
				No. (colun	nn %)			
				2-dose	2-dose	3-dose	3-dose	
\mathbf{C}		Unvaccinated	Unvaccinated	mRNA	mRNA	mRNA	mRNA	
		without	with	vaccinated ²	vaccinated ²	vaccinated ²	vaccinated ²	Standardize
Y	Entire cohort	documented	documented	without	with	without	with	mean g
		prior	prior	documented	documented	documented	documented	difference
		infection	infection ¹	prior	prior	prior	prior	
				infection	infection ¹	infection	infection ¹	

			Ć					
Total number of person-								
time intervals ⁴	1,569,619	501,667	141,022	565,034	109,059	222,589	30,248	nioaded
Sex								0.02 m
			¢	215035				s://aca
Male	611710 (39)	196346 (39)	58009 (41)	(38)	42662 (39)	87579 (39)	12079 (40)	ademic.ou
				349930		134984		up.con
Female	957722 (61)	305260 (61)	82995 (59)	(62)	66387 (61)	(61)	18166 (60)	n/Jid/advar
Other/Unknown	187 (0)	61 (0)	18 (0)	69 (0)	10 (0)	26 (0)	3 (0)	nce-arti
Age group, years								0.35
				250488				
18-49	780047 (50)	300762 (60)	90906 (64)	(44)	55571 (51)	71643 (32)	10677 (35)	s/intais/jiao
				156342				040/7
50-64	398117 (25)	107019 (21)	30394 (22)	(28)	31035 (28)	63787 (29)	9540 (31)	045997 0
65-74	234285 (15)	54628 (11)	11680 (8)	94903 (17)	13752 (13)	53160 (24)	6162 (20)	y gues
75-84	117808 (7)	28015 (6)	5706 (4)	48051 (8)	6428 (6)	26710 (12)	2898 (10)	1 0n 24 F
≥85	39362 (2)	11243 (2)	2336 (2)	15250 (3)	2273 (2)	7289 (3)	971 (3)	ebruary
	1	1		1				2023

Race/Ethnicity								0.22	Down
Hispanic	163079 (10)	55732 (11)	21699 (15)	51978 (9)	16986 (16)	13492 (6)	3192 (11)		loaded f
White, Non-				417054		177963			nom htt
Hispanic	1114438 (71)	337711 (67)	87913 (62)	(74)	71559 (66)	(80)	22238 (73)		tps://acad
Black, Non-		Ň							demic.c
Hispanic	192977 (12)	82202 (16)	24502 (17)	54639 (10)	12823 (12)	15774 (7)	3037 (10)		pup.com/ji
Other ⁵ , Non-									id/adva
Hispanic	99125 (6)	26022 (5)	6908 (5)	41363 (7)	7691 (7)	15360 (7)	1781 (6)		ance-artic
Presence of at least one								0.26	le/doi/1
underlying condition ⁶								0.20	0.1093/i
				350772		152395			fdis/jia
Yes	903573 (58)	246592 (49)	68156 (48)	(62)	65159 (60)	(69)	20499 (68)		ad040/70-
				214262					45997
No	666046 (42)	255075 (51)	72866 (52)	(38)	43900 (40)	70194 (31)	9749 (32)		by guest
Presence of								0.12	on 24
immunocompromised								0.12	February
		•		•			·		2023

			C					
condition ⁷			C					Down
Yes	181226 (11)	46908 (9)	10414 (7)	72021 (13)	11227 (10)	36301 (16)	4355 (14)	llbaded :
				493013		186288		niom h
No	1388393 (88)	454759 (91)	130608 (93)	(87)	97832 (90)	(84)	25893 (86)	ttps://aca
COVID-19 Vaccinations								5.19 mic.ou
Unvaccinated	642689 (41)	501667 (100)	141022 (100)	0 (0)	0 (0)	0 (0)	0 (0)	p.com/j
				207425				iid/adv
Moderna	324945 (21)	0 (0)	0 (0)	(37)	36913 (34)	71821 (32)	8786 (29)	ance-artic
	Ý			356626		131301		lle/doi/
Pfizer-BioNTech	578298 (37)	0 (0)	0 (0)	(63)	71986 (66)	(59)	18385 (61)	10.1093/ii
Combination of								nfdis/ji
mRNA products	23687 (1)	0 (0)	0 (0)	983 (0.2)	160 (0.1)	19467 (9)	3077 (10)	ad040/70
Hospitalized with								15997
laboratory-confirmed								0.07 gu
COVID-19-like illness ⁸	2535 (0.2)	1820 (0.4)	40 (0)	619 (0.1)	17 (0)	36 (0)	3 (0)	st on 24 F
Month of index test								0.81 Output
	1	1	I		<u> </u>	I	<u> </u>	2023

 \mathbf{i}

			ć	611	,			
date ⁹ , among persons			C.					Down
with COVID-19-								nloaded
associated								from ht
hospitalizations								tps://aca
August 2021	182 (7)	131 (7)	6 (15)	45 (7)	0 (0)	0 (0)	0 (0)	cemic.o
September 2021	865 (34)	644 (35)	9 (22)	205 (33)	3 (18)	4 (11)	0 (0)	up.com
October 2021	457 (18)	309 (17)	3 (7)	134 (22)	5 (29)	5 (14)	1 (33)	jid/adva
November 2021	499 (20)	349 (19)	6 (15)	127 (20)	5 (29)	12 (33)	0 (0)	nce-artic
December 2021	532 (21)	387 (21)	16 (40)	108 (17)	4 (23)	15 (42)	2 (67)	cle/doi/1
Time from most recent immunizing event to COVID-19-associated hospitalization, median days (IQR)	-	-	372 (239- 456)	258 (202- 309)	251 (194- 294)	118 (75- 157)	90 (71-132)	0.1093/infdis/jiad040/7045997 by gues

¹Prior infection was defined as having a positive test result from rapid antigen or molecular assays performed \geq 90 days before the index date of the COVID-19associated encounter.

²Vaccination was defined as having received the listed number of doses of an mRNA-based COVID-19 vaccine \geq 14 days before the medical event index date. ³Standardized mean difference calculation compared characteristics across all six exposure groups. For the six-level exposure variable, a single standardized mean difference (SMD) was calculated by averaging the absolute SMDs obtained from pairwise comparison of each exposure category versus unvaccinated without documented prior infection. Specifically, it was calculated as the average of the absolute value of the SMDs for 1) unvaccinated with documented prior on 24 February 2023

infection versus unvaccinated without documented prior infection, 2) 2-dose mRNA vaccinated without documented prior infection versus unvaccinated without documented prior infection, 3) 2-dose mRNA vaccinated with documented prior infection versus unvaccinated without documented prior infection, 4) 3-dose mRNA vaccinated without documented prior infection, and 5) 3-dose mRNA vaccinated without documented prior infection, 4) 3-dose mRNA vaccinated without documented prior infection, and 5) 3-dose mRNA vaccinated without documented prior infection.⁴As people may be reflected in more than one exposure group, the number of person-time intervals was higher than the number of all members in the cohort. ⁵Other race included Asian, Hawaiian or Other Pacific Islander, American Indian or Alaskan Native, Other not-listed, multi-race, and those missing data on race/ethnicity.

⁶Underlying conditions included asthma, chronic obstructive pulmonary disease, other lung disease, heart failure, ischemic heart disease, hypertension, other heart disease, stroke, other cerebrovascular disease, diabetes (type 1, 2 and due to other specified conditions), other metabolic disease, clinical obesity, clinically underweight, renal disease, liver disease, blood disorder, other immunosuppressive conditions, organ transplant recipient, cancer, dementia, neurological/musculoskeletal disorder or Down's syndrome using diagnosis codes from the International Classification of Diseases, Tenth Revision.

⁷Immunocompromising conditions were derived from lists used in previous studies of large hospital-based or administrative databases and included the following conditions: 1) solid malignancies, 2) hematologic malignancies, 3) rheumatologic or inflammatory disorders, 4) other intrinsic immune conditions or immunodeficiencies, and 5) organ or stem cell transplants.

⁸Medical events with a discharge code consistent with COVID-19–like illness were included. COVID-19–like illness included acute respiratory illness (e.g., COVID-19, respiratory failure, or pneumonia) or related signs or symptoms (cough, fever, dyspnea, vomiting, or diarrhea) using diagnosis codes from the International Classification of Diseases, Ninth Revision and International Classification of Diseases, Tenth Revision. Clinician-ordered molecular assays (e.g., real-time reverse transcription-polymerase chain reaction) for SARS-CoV-2 occurring ≤ 14 days before to <72 hours after the encounter date were included. ⁹Index date for each medical encounter was defined as the date of respiratory specimen collection associated with the most recent positive SARS-CoV-2 test result prior to the medical encounter or the date of the admission or medical encounter if testing only occurred after the admission or encounter date.

Table 3. Descriptive characteristics of persons aged ≥18 years included in the cohort during Omicron predominance followed until COVID-19-associated emergency department/urgent care (ED/UC) encounter, overall and by exposure status— 5 states, August 2021 – June 2022

								450				
				No. (colun	nn %)			97				
*		Unvaccinated	Unvaccinated	2-dose	2-dose	3-dose	3-dose	Standardized				
		without	with	mRNA	mRNA	mRNA	mRNA	mean ²⁴ Fe				
	Entire cohort	documented	documented	vaccinated ²	vaccinated ²	vaccinated ²	vaccinated ²	difference ₂₂				
		<u> </u>					<u> </u>	023				

			Ć					
		prior	prior	without	with	without	with	Dow
		infection	infection ¹	documented	documented	documented	documented	rnloaded
				prior	prior	prior	prior	from htt
			*	infection	infection ¹	infection	infection ¹	ps://aca
Total number of person-								demic.
time intervals ⁴	1,583,564	502,816	185,573	370,855	111,858	342,929	69,533	oup.com/j
Sex								0.02 id/advar
				138567		133799		nce-ari
Male	613233 (39)	198180 (39)	74165 (40)	(37)	41597 (37)	(39)	26925 (39)	licle/doi/1
				232247		209083		0.1093
Female	970141 (61)	304572 (61)	111388 (60)	(63)	70251 (63)	(61)	42600 (61)	3/infdis/jia
Other/Unknown	190 (0)	64 (0)	20 (0)	41 (0)	10 (0)	47 (0)	8 (0)	d040/70-
Age group, years								0.25 5997 b
7				191777		126890		y gues
18-49	831472 (52)	297728 (59)	119866 (65)	(52)	64329 (57)	(37)	30882 (44)	st on 24 F
50-64	396962 (25)	107432 (21)	40057 (22)	99476 (27)	29622 (26)	99336 (29)	21039 (30)	ebruary
			•					2023

65-74	213046 (13)	56924 (11)	15448 (8)	46909 (13)	10999 (10)	71736 (21)	11030 (16)		Dowr
75-84	106149 (7)	29188 (6)	7380 (4)	24100 (6)	5116 (5)	35339 (10)	5026 (7)		lloaded
≥85	35935 (2)	11544 (2)	2822 (1)	8593 (2)	1792 (2)	9628 (3)	1556 (2)		fi <mark>r</mark> om http
Race/Ethnicity			,					0.20	os://acad
Hispanic	172304 (11)	54709 (1)	24446 (13)	42781 (11)	18115 (16)	24014 (7)	8239 (12)		demic.ou
White, Non-				256823		266163			Jp.com
Hispanic	1103757 (70)	339115 (67)	120147 (65)	(69)	72090 (64)	(78)	49419 (71)		ı/jid/adva
Black, Non-									nce-art
Hispanic	204871 (13)	83058 (16)	32752 (18)	42338 (11)	13587 (12)	26361 (8)	6775 (10)		icle/doi/1
Other ⁵ , Non- Hispanic	102632 (6)	25934 (5)	8228 (4)	28913 (8)	8066 (7)	26391 (8)	5100 (7)		0.1093/infdis/jia
Presence of at least one underlying condition ⁶								0.20	d040/70459
				017457		224441			97 by ç
<i>Y</i>				21/45/		224441			guest
Yes	892302 (56)	247995 (49)	94140 (51)	(59)	64324 (57)	(65)	43945 (63)		on 24 F
No	691262 (44)	254821 (51)	91433 (49)	153398	47534 (42)	118488	25588 (37)		ebruary
L	1			1			<u> </u>		2023

Ň

È

			ć					
				(41)		(35)		Dowr
Presence of								llbadec
immunocompromised								0.08 from h
condition ⁷								https://acau
Yes	170944 (11)	46324 (9)	14895 (8)	40086 (11)	10806 (10)	49973 (15)	8860 (13)	demic.o
				330769	101052	292956		up.com
No	1412620 (89)	456492 (91)	170678 (92)	(89)	(90)	(85)	60673 (87)	/jid/advar
COVID-19 Vaccinations	N							4.08 eartic
Unvaccinated	688389 (43)	502816 100)	185573 (100)	0 (0)	0 (0)	0 (0)	0 (0)	le/doi/1
				138424		111790		0.1093
Moderna	307881 (19)	0 (0)	0 (0)	(37)	37371 (33)	(33)	20296 (29)	'infdis/jia
				231379		197227		d040/7
Pfizer-BioNTech	544207 (34)	0 (0)	0 (0)	(62)	74209 (66)	(58)	41392 (60)	045997 b
Combination of								, gues
mRNA products	43087 (3)	0 (0)	0 (0)	1052 (0.3)	278 (0.2)	33912 (10)	7845 (11)	t on 24 F
ED/UC encounters with	8472 (0.5)	3459 (0.7)	703 (0.4)	2799 (0.8)	323 (0.3)	1078 (0.3)	110 (0.2)	0.05 bruary
	1		1		L	L	1	2023

				Ň	,			
laboratory-confirmed								Dov
COVID-19-like illness ⁸		1						vnloaded
Month of index test								from h
date ⁹ , among persons								ittps://aca
with COVID-19-								
associated ED/UC								up.com
encounters		т. 						'jid/adva
December 2021	1535 (18)	714 (21)	133 (19)	507 (18)	68 (21)	103 (10)	10 (9)	nce-artic
January 2022	5141 (61)	2193 (63)	437 (62)	1773 (63)	187 (58)	489 (45)	62 (56)	ie/doi/10
February 2022	691 (8)	277 (8)	36 (5)	226 (8)	26 (8)	119 (11)	7 (6)	0.1093/ii
March 2022	170 (2)	63 (2)	14 (2)	46 (2)	9 (3)	33 (3)	5 (5)	nfdis/jiac
April 2022	231 (3)	55 (2)	22 (3)	65 (2)	6 (2)	73 (7)	10 (9)	040/70
May 2022	638 (8)	143 (4)	52 (7)	165 (6)	26 (8)	236 (22)	16 (15)	45997 b
June 2022	66 (1)	14 (0.4)	9 (1)	17 (1)	1 (0.3)	25 (2)	0 (0)	y guest
Time from most recent	_	_	375 (230-	258 (199-	219 (145-	104 (63-	85 (52-128)	on 24 F
immunizing event to			459)	308)	272)	159)	00 (02 120)	-ebruary
	•	•	•				· •	2023

COVID-19-associated

ED/UC encounter,

median days (IQR)

Abbreviations: Emergency Department (ED), Urgent Care (UC)

¹Prior infection was defined as having a positive test result from rapid antigen or molecular assays performed \geq 90 days before the index date of the COVID-19associated encounter.

²Vaccination was defined as having received the listed number of doses of an mRNA-based COVID-19 vaccine \geq 14 days before the medical event index date.

³Standardized mean difference calculation compared characteristics across all six exposure groups. For the six-level exposure variable, a single standardized mean difference (SMD) was calculated by averaging the absolute SMDs obtained from pairwise comparison of each exposure category versus unvaccinated without documented prior infection. Specifically, it was calculated as the average of the absolute value of the SMDs for 1) unvaccinated with documented prior infection versus unvaccinated without documented prior infection, 2) 2-dose mRNA vaccinated without documented prior infection, 3) 2-dose mRNA vaccinated with documented prior infection versus unvaccinated without documented prior infection, 4) 3-dose mRNA vaccinated without documented prior infection, and 5) 3-dose mRNA vaccinated without documented prior infection, and 5) 3-dose mRNA vaccinated without documented prior infection.

⁵Other race included Asian, Hawaiian or Other Pacific Islander, American Indian or Alaskan Native, Other not-listed, multi-race, and those missing data on race/ethnicity.

⁶Underlying conditions included asthma, chronic obstructive pulmonary disease, other lung disease, heart failure, ischemic heart disease, hypertension, other heart disease, stroke, other cerebrovascular disease, diabetes (type 1, 2 and due to other specified conditions), other metabolic disease, clinical obesity, clinically underweight, renal disease, liver disease, blood disorder, other immunosuppressive conditions, organ transplant recipient, cancer, dementia, neurological/musculoskeletal disorder or Down's syndrome using diagnosis codes from the International Classification of Diseases, Ninth Revision and the International Classification of Diseases, Tenth Revision.

⁷Immunocompromising conditions were derived from lists used in previous studies of large hospital-based or administrative databases and included the following conditions: 1) solid malignancies, 2) hematologic malignancies, 3) rheumatologic or inflammatory disorders, 4) other intrinsic immune conditions or immunodeficiencies, and 5) organ or stem cell transplants.

⁸Medical events with a discharge code consistent with COVID-19–like illness were included. COVID-19–like illness included acute respiratory illness (e.g., COVID-19, respiratory failure, or pneumonia) or related signs or symptoms (cough, fever, dyspnea, vomiting, or diarrhea) using diagnosis codes from the International Classification of Diseases, Ninth Revision and International Classification of Diseases, Tenth Revision. Clinician-ordered molecular assays (e.g., real-time reverse transcription-polymerase chain reaction) for SARS-CoV-2 occurring ≤ 14 days before to <72 hours after the encounter date were included.

⁹Index date for each medical encounter was defined as the date of respiratory specimen collection associated with the most recent positive SARS-CoV-2 test result prior to the medical encounter or the date of the admission or medical encounter if testing only occurred after the admission or encounter date.

				No. (colun	nn %)			
			· · · · · · · · · · · · · · · · · · ·	2-dose	2-dose	3-dose	3-dose	
		Unvaccinated	Unvaccinated	mRNA	mRNA	mRNA	mRNA	
		without	with	vaccinated ²	vaccinated ²	vaccinated ²	vaccinated ²	Standardi
	\wedge	documented	documented	without	with	without	with	mean
		prior	prior	documented	documented	documented	documented	differenc
	Ý	infection	infection ¹	prior	prior	prior	prior	
	Entire cohort			infection	infection ¹	infection	infection ¹	
otal number of person-								
ne intervals ⁴	1,587,872	502,815	187,501	370,855	113,542	342,929	70,230	
X								0.02
				138567		133799		
Male	614391 (39)	198180 (39)	74677 (40)	(37)	42036 (37)	(39)	27132 (39)	
Female	973291 (61)	304571 (61)	112804 (60)	232247	71496 (63)	209083	43090 (61)	

			Ċ	(63)		(61)		Dowr
Other/Unknown	190 (0)	64 (0)	20 (0)	41 (0)	10 (0)	47 (0)	8 (0)	lloaded
Age group, years								0.25 m http
			r	191777		126890		os://aca
18-49	835049 (53)	297727 (59)	121735 (65)	(52)	65624 (58)	(37)	31296 (45)	idemic.ou
50-64	397776 (25)	107432 (21)	40302 (21)	99476 (27)	29997 (26)	99336 (29)	21233 (30)	ıp.com/ji
65-74	213128 (13)	56925 (11)	15389 (8)	46909 (13)	11054 (10)	71736 (21)	11115 (16)	d/advar
75-84	106068 (7)	29187 (6)	7294 (4)	24100 (6)	5113 (4)	35339 (10)	5035 (7)	ice-artic
≥85	35851 (2)	11544 (2)	2781 (1)	8593 (2)	1754 (1)	9628 (3)	1551 (2)	le/doi/1(
Race/Ethnicity								0.20 1093/in
Hispanic	173292 (11)	54709 (11)	24888 (13)	42781 (11)	18523 (16)	24014 (7)	8377 (12)	lfdis/jiad
White, Non-				256823		266163		040/70.
Hispanic	1106093 (70)	339114 (67)	121141 (65)	(69)	72998 (64)	(78)	49854 (71)	45997 b
Black, Non-								y guest
Hispanic	205575 (13)	83058 (16)	33161 (18)	42338 (11)	13825 (12)	26361 (8)	6832 (10)	on 24 F
Other ⁵ , Non-	102912 (6)	25934 (5)	8311 (4)	28913 (8)	8196 (7)	26391 (8)	5167 (7)	ebruary
1	1	l	I	1			1 1	2023 2023

S

			Ċ					
Hispanic								
Presence of at least one								0.20
underlying condition ⁶								0.20
			r	217457		224441		
Yes	894214 (56)	247995 (49)	94776 (50)	(59)	65157 (57)	(65)	44388 (63)	
				153398		118488		
No	693658 (44)	254820 (51)	92725 (49)	(41)	48385 (43)	(35)	25842 (37)	rijiu, au vai
Presence of								
immunocompromised								0.08
condition ⁷								
Yes	171104 (11)	46324 (9)	14922 (8)	40086 (11)	10881 (10)	49973 (15)	8918 (13)	india/Jido
				330769	102661	292956		
No	1416768 (89)	456491 (91)	172579 (92)	(89)	(90)	(85)	61312 (87)	
COVID-19 Vaccinations								4.07
Unvaccinated	690316 (43)	502815 (100)	187501 (100)	0 (0)	0 (0)	0 (0)	0 (0)	
Moderna	308711 (19)	0 (0)	0 (0)	138424	37973	111790	20524 (29)	yor var y

			C	(37)	(33.4)	(32)		Dowr
				231379		197227		nloaqe
Pfizer-BioNTech	545688 (34)	0 (0)	0 (0)	(62)	75285 (66)	(57)	41797 (59)	d from nu
Combination of			e					ps://ac
mRNA products	43157 (3)	0 (0)	0 (0)	1052 (0.3)	284 (0.3)	33912 (10)	7909 (11)	cademic.u
Hospitalized with								up.cor
laboratory-confirmed		7						
COVID-19-like illness ⁸	2945 (0.2)	1496 (0.3)	108 (0.1)	913 (0.2)	57 (0.1)	347 (0.1)	24 (0)	nce-artic
Month of index test								cie/qoi
date ⁹ , among persons	~							/10.10
with COVID 10								0.44
with COVID-19-								0.44 dis/jiac
associated								1040/7
hospitalizations								045997
December 2021	371 (13)	204 (14)	11 (10)	128 (14)	3 (5)	25 (7)	0 (0)	by gue:
January 2022	1845 (63)	971 (65)	66 (61)	575 (63)	38 (67)	183 (53)	12 (50)	st on 24
February 2022	474 (16)	234 (16)	22 (20)	138 (15)	7 (12)	68 (20)	5 (21)	- epruar
				1	<u> </u>	1		

March 2022	89 (3)	28 (2)	3 (3)	27 (3)	3 (5)	25 (7)	3 (12)	Down
April 2022	59 (2)	18 (1)	1 (1)	17 (2)	5 (9)	15 (4)	3 (12)	lbaded f
May 2022	104 (3)	39 (3)	5 (5)	27 (3)	1 (2)	31 (9)	1 (4)	rom http
June 2022	3 (0.1)	2 (0.1)	0 (0)	1 (0.1)	0 (0.0)	0 (0.0)	0 (0.0)	s://acad
Time from most recent								emic.out
immunizing event to			374 (183-	282 (236-	251 (152-	111 (69-	107 (58-	o.com/jic
COVID-19-associated		-	479)	318)	292)	152)	133)	1/advanc
hospitalization, median								ce-article
days (IQR)								e/doi/1(

¹Prior infection was defined as having a positive test result from rapid antigen or molecular assays performed \geq 90 days before the index date of the COVID-19associated encounter.

²Vaccination was defined as having received the listed number of doses of an mRNA-based COVID-19 vaccine \geq 14 days before the medical event index date.

³Standardized mean difference calculation compared characteristics across all six exposure groups. For the six-level exposure variable, a single standardized mean difference (SMD) was calculated by averaging the absolute SMDs obtained from pairwise comparison of each exposure category versus unvaccinated without documented prior infection. Specifically, it was calculated as the average of the absolute value of the SMDs for 1) unvaccinated with documented prior infection versus unvaccinated without documented prior infection, 2) 2-dose mRNA vaccinated without documented prior infection, 3) 2-dose mRNA vaccinated with documented prior infection, 4) 3-dose mRNA vaccinated without documented prior infection, and 5) 3-dose mRNA vaccinated with documented prior infection, and 5) 3-dose mRNA vaccinated without documented prior infection, ⁴As people may be reflected in more than one exposure group, the number of person-time intervals was higher than the number of all members in the cohort.

⁵Other race included Asian, Hawaiian or Other Pacific Islander, American Indian or Alaskan Native, Other not-listed, multi-race, and those missing data on race/ethnicity.

⁶Underlying conditions included asthma, chronic obstructive pulmonary disease, other lung disease, heart failure, ischemic heart disease, hypertension, other heart disease, stroke, other cerebrovascular disease, diabetes (type 1, 2 and due to other specified conditions), other metabolic disease, clinical obesity, clinically underweight, renal disease, liver disease, blood disorder, other immunosuppressive conditions, organ transplant recipient, cancer, dementia,

.1093/infdis/jiad040/7045997 by guest on 24 February 2023

neurological/musculoskeletal disorder or Down's syndrome using diagnosis codes from the International Classification of Diseases, Ninth Revision and the International Classification of Diseases, Tenth Revision.

⁷Immunocompromising conditions were derived from lists used in previous studies of large hospital-based or administrative databases and included the following conditions: 1) solid malignancies, 2) hematologic malignancies, 3) rheumatologic or inflammatory disorders, 4) other intrinsic immune conditions or immunodeficiencies, and 5) organ or stem cell transplants.

⁸Medical events with a discharge code consistent with COVID-19–like illness were included. COVID-19–like illness included acute respiratory illness (e.g., COVID-19, respiratory failure, or pneumonia) or related signs or symptoms (cough, fever, dyspnea, vomiting, or diarrhea) using diagnosis codes from the International Classification of Diseases, Ninth Revision and International Classification of Diseases, Tenth Revision. Clinician-ordered molecular assays (e.g., real-time reverse transcription-polymerase chain reaction) for SARS-CoV-2 occurring ≤ 14 days before to <72 hours after the encounter date were included. ⁹Index date for each medical encounter was defined as the date of respiratory specimen collection associated with the most recent positive SARS-CoV-2 test result prior to the medical encounter or the date of the admission or medical encounter if testing only occurred after the admission or encounter date.

Figure 1. Estimated protection conferred from prior SARS-CoV-2 infection and/or COVID-19 mRNA vaccination against laboratory-confirmed COVID-19–associated Emergency Department or Urgent Care encounters, separately during Delta predominance and Omicron predominance

Protection is calculated as ((1-adjusted hazard ratio) x 100), analogous to how vaccine effectiveness is calculated.

Prior infections during Delta predominance includes infections during pre-Delta and Delta variant

periods, and prior infections during Omicron predominance includes infections that occurred before and

during Omicron predominance.

Exposure Group	Total person days	COVID-19-associated ED/UC encounters	57	Protection (95% CI)
Delta Predominance Period				
Unvaccinated without documented prior infection	55,887,313	2,652		Ref
Unvaccinated with documented prior infection	13,915,758	108	H e t	85 (81 - 87)
2-dose mRNA vaccinated without documented prior infection	53,846,891	996	•	72 (70 - 74)
2-dose mRNA vaccinated with documented prior infection	10,451,611	38	•	94 (92 - 96)
3-dose mRNA vaccinated without documented prior infection	9,609,910	70	•	91 (89 - 93)
3-dose mRNA vaccinated with documented prior infection	1,062,517	2	H 4	98 (91 - 99)
Omicron Predominance Period				
Unvaccinated without documented prior infection	80,404,869	3,459		Ref
Unvaccinated with documented prior infection	27,534,302	703	H	37 (31 - 41)
2-dose mRNA vaccinated without documented prior infection	48,601,528	2,799	Her	18 (14 - 22)
2-dose mRNA vaccinated with documented prior infection	13,620,814	323	H#H	62 (58 - 66)
3-dose mRNA vaccinated without documented prior infection	51,486,337	1,078		66 (64 - 69)
3-dose mRNA vaccinated with documented prior infection	9,137,786	110	25 50 75 100	76 (71 - 80)

Downloaded from https://academic.oup.com/jid/advance-article/doi/10.1093/infdis/jiad040/7045997 by guest on 24 February 2023

Figure 2. Estimated protection conferred from prior SARS-CoV-2 infection and/or COVID-19 mRNA vaccination against laboratory-confirmed COVID-19–associated hospitalizations, separately during Delta predominance and Omicron predominance

Protection is calculated as ((1-adjusted hazard ratio) x 100), analogous to how vaccine effectiveness is calculated.

Prior infections during Delta predominance includes infections during pre-Delta and Delta variant periods, and prior infections during Omicron predominance includes infections that occurred before and during Omicron predominance.

F C	Total	COVID-19-associated			Protection
Exposure Group	Person days	hospitalizations			(95% CI)
Delta Predominance Period					
Unvaccinated without documented prior infection	55,887,284	1.820			Ref
Unvaccinated with documented prior infection	13,923,102	40	i	H H H	91 (87 - 93)
2-dose mRNA vaccinated without documented prior infection	53,846,896	619		Hei	73 (70 - 75)
2-dose mRNA vaccinated with documented prior infection	10,453,393	17	i	H	96 (93 - 97)
3-dose mRNA vaccinated without documented prior infection	9,609,911	36		Hel	93 (90 - 95)
3-dose mRNA vaccinated with documented prior infection	1,062,890	3	I	+	95 (85 - 98)
Omicron Predominance Period			ľ		
Unvaccinated without documented prior infection	80,405,021	1,496	!		Ref
Unvaccinated with documented prior infection	27,726,004	108			76 (71 - 80)
2-dose mRNA vaccinated without documented prior infection	48,601,591	913	! ++ +		39 (33 - 44)
2-dose mRNA vaccinated with documented prior infection	13,748,379	57	i i		83 (77 - 87)
3-dose mRNA vaccinated without documented prior infection	51,486,384	347	!	Her	77 (74 - 80)
3-dose mRNA vaccinated with documented prior infection	9,184,730	24	0 25 50	75 100	90 (84 - 93)